

Quantum Phenomena
at Low Temperatures
Lammi 21-26/4/2006

On the supersolid state of ^4He



Sede centrale: facciata lungo largo Richini



Sede centrale: cortile del Richini

Luciano Reatto

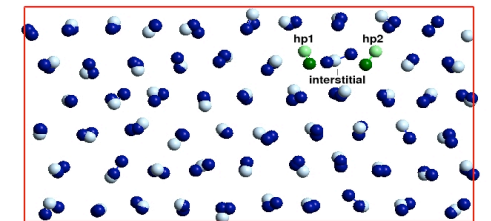
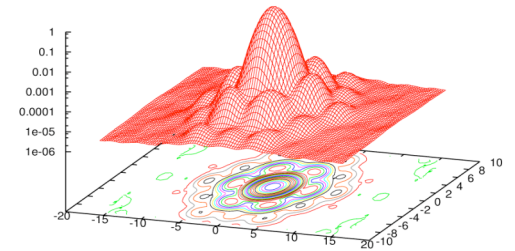
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Outline

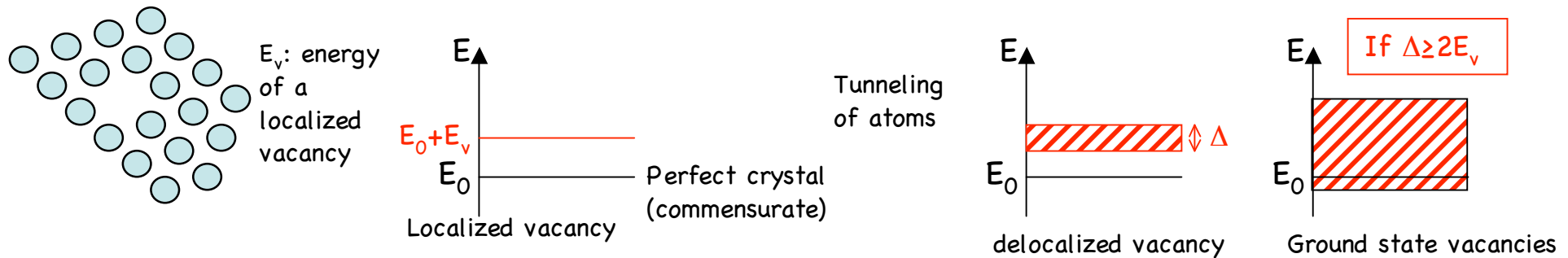
- Introduction: supersolid, experimental results and some basic questions for many body theory
- Some special properties of solid ^4He
 - Zero point motion
 - Vacancy-interstitial pairs
 - Vacancy waves
- Theoretical tools
 - Variational Shadow Wave Function (SWF)
 - "exact" Shadow Path Integral Ground State (SPIGS)
- Ground state: commensurate or incommensurate?
 - What is known
- One-body density matrix:
 - Study of ODLRO in a commensurate crystal
 - Variational result (SWF)
 - Exact result (SPIGS)
 - Study of ODLRO in a crystal with vacancies (incommensurate crystal)
 - Variational result (SWF)
 - Exact result (SPIGS)
- Conclusions

SUPERSOLID STATE

A quantum solid (^4He) with some sort of superfluid properties like non classical moment of inertia, BEC

Theoretical works ante Kim-Chan experiments (2004)

A. Possible presence of vacancies in the ground state (Andreev and Lifshitz 1969)



B. Model wave functions exist with crystalline order, a finite concentration of vacancies and a finite BEC (Chester 1970, stimulated by proof (Reatto 1969) that a Jastrow wf has BEC)

C. Non classical rotation of a quantum solid; rigidity of wave function: $\rho_s/\rho > 0$ if local density $\rho(r) > 0$ (Leggett 1970)

D. ...work by Saslow, Guyer,...

....

Z. Microscopic computation of the condensate induced by vacancies in solid ^4He from variational theory (Galli-Reatto 2001)

Supersolid State

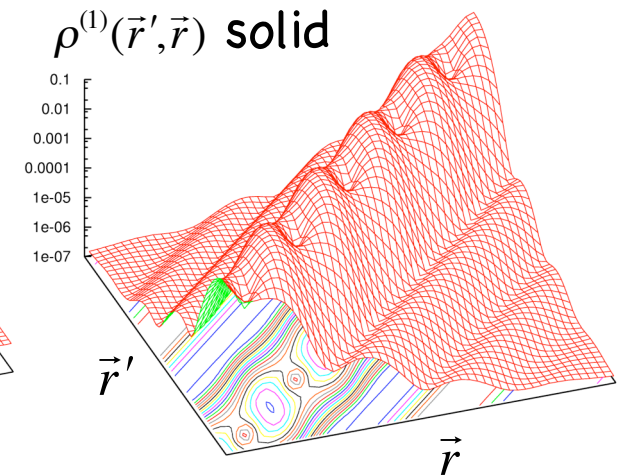
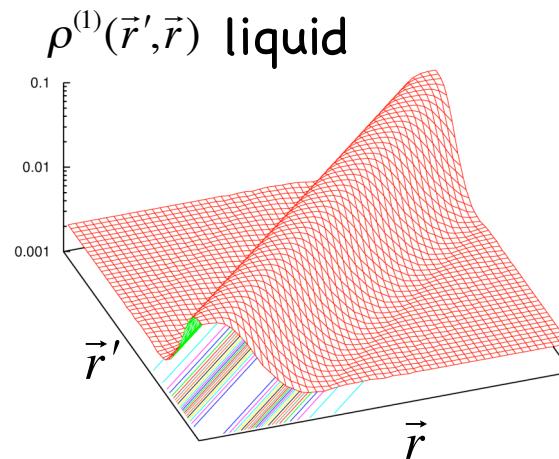
- If Off Diagonal Long Range Order (ODLRO) is present we expect some superfluid phenomena

State with LRO+ODLRO

Behavior of the one-body density matrix

$$\rho^{(1)}(\vec{r}', \vec{r}) = \langle \hat{\Psi}^+(\vec{r}') \hat{\Psi}(\vec{r}) \rangle$$

$$\rho^{(1)}(\vec{r}, \vec{r}) = \text{Local density at } \vec{r}$$



- No theoretical motivation for why this could not happen (therefore it must happen somewhere)
- There is now solid evidence that Bose Hubbard model on a lattice can show LRO+ODLRO, in ^4He however the lattice is self-built by the atoms

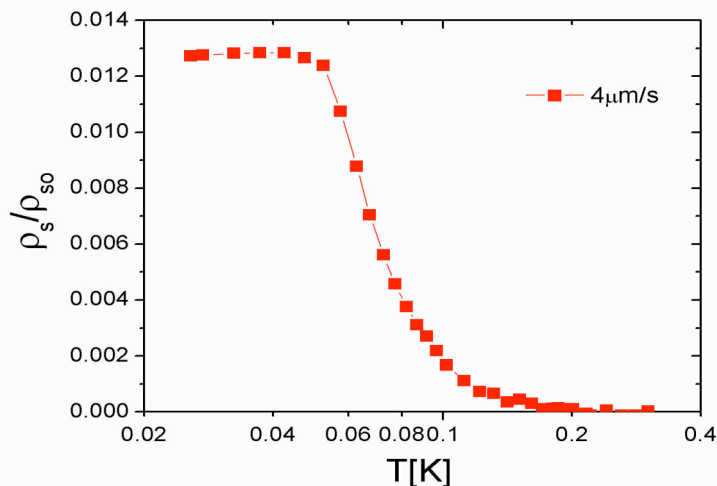
Experiments (1)

- Experimental search of the supersolid state in the seventies and eighties has been unsuccessful

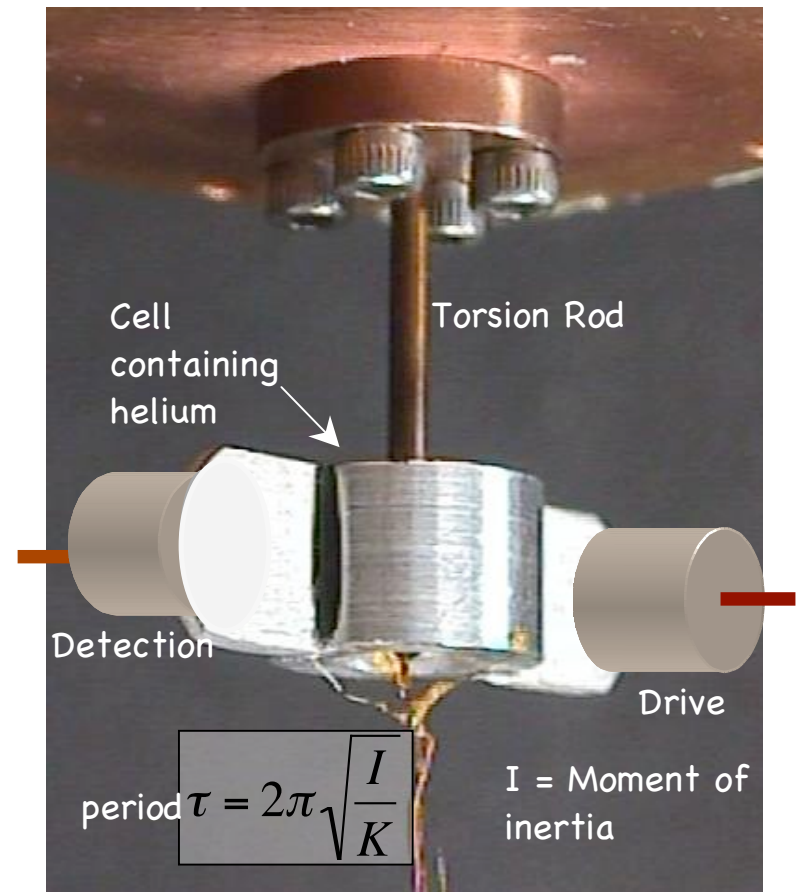
BREAKTHROUGH

Kim and Chan find non classical rotational inertia in

- ^4He in vycor (Nature, Jan. 2004)
- ^4He in porous gold (JLTP, Febr. 2005)
- ^4He bulk (Science, Sept. 2004)



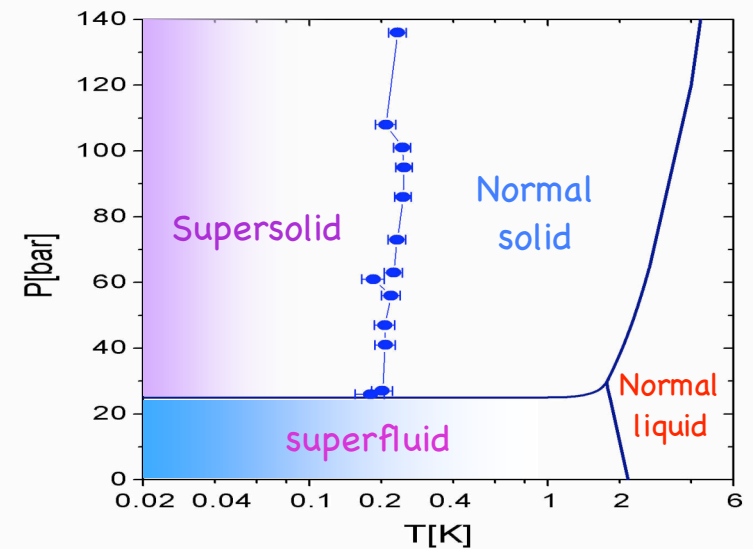
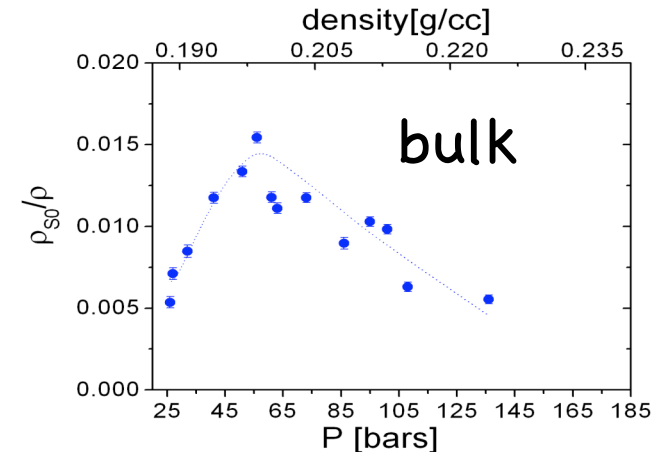
The supersolid fraction is on the order of 1.3% at lowest T



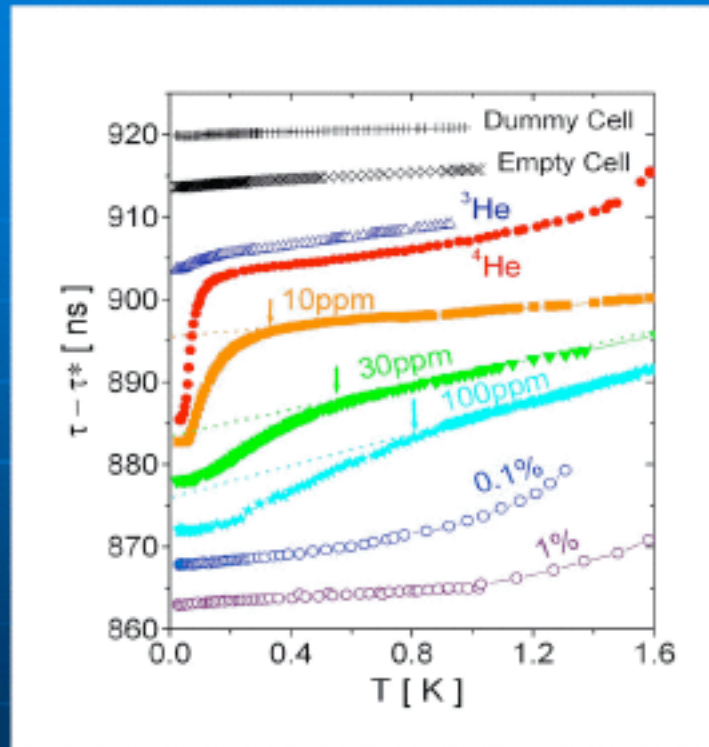
Experiments (2)

- Superfluidity of bulk crystalline ^4He is the correct explanation?

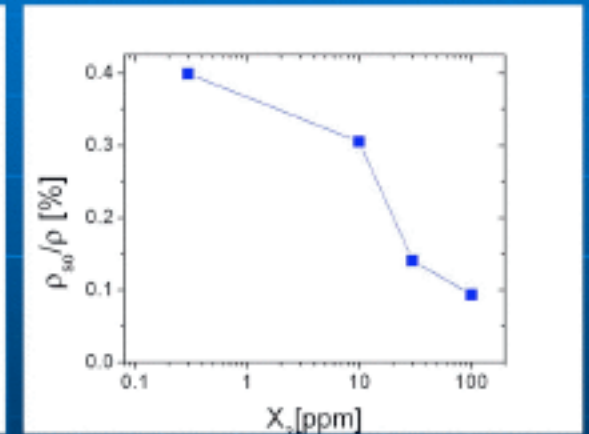
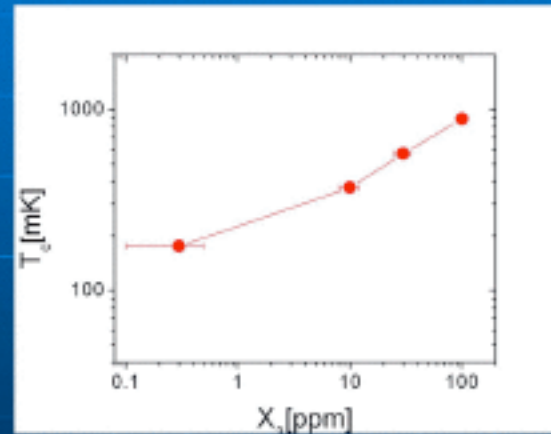
Beamish and coworkers experiment in vycor (PRL 2005 and 2006) rules out some possible alternative explanations, on the other hand they see no pressure-induced flow in the pores



^4He solid diluted with a low concentration of ^3He



Effect of the addition of ^3He impurities



At 0.3ppm, the separation of the ^3He atoms is about 450\AA

Latest results from PSU: with $X_3=1$ ppb
(Baltimore 3/2006)

$\rho_s=0.025\%$, $T_c=80$ mK

Supersolid behaviour in torsional oscillator experiments, works in progress reported at “The supersolid state of matter”

Santa Barbara (February 2006)

- Rittner-Reppy (Cornell)
 - They find NCRI, signal goes away after suitable annealing (cross section oscillator is \square)
- Shirahama and collaborators (Keio Un.)
 - They find NCRI, ρ_s is one order of magnitude small compared to the PSU results
- Penzyev and Kubota (ISSP, Un. Tokyo)
 - They find NCRI, results comparable with those of PSU

Summary of results from microscopic theories

A. Finite temperature (“exact” results PIMC)

Bulk commensurate solid

- | | | |
|------------------------|------------|---|
| 1) Superfluid fraction | $\rho_s=0$ | at $T \geq 0.5$ K (Ceperley, Bernu PRL 2004) |
| 2) Condensate fraction | $n_0=0$ | at $T \geq 0.2$ K (Clark, Ceperley PRL 2006; Boninsegni et al. PRL 2006) |

Solid ^4He in “vycor” (split pore geometry)

$\rho_s \neq 0$ at $T \approx 0.15$ K due to liquid like monolayer between solid and immobile adsorbed layer (Khairallah, Ceperley PRL 2005)

B. Zero temperature (variational and “exact” results)

Bulk commensurate solid

- Condensate fraction $n_0 > 0$ variational theory (Galli, Rossi, Reatto PRB 2005)
- Condensate fraction n_0 might be finite exact theory (work in progress)

Incommensurate solid (solid with vacancies)

- Condensate fraction $n_0 > 0$ variational theory (Galli, Reatto JLTP 2001)
- Condensate fraction $n_0 > 0$ exact theory (Galli, Reatto PRL 2006)

Solid ^4He in “vycor” (cylindrical channel, $\phi = 26 \text{ \AA}$)

- Condensate fraction $n_0 > 0$ variational theory (Rossi, Galli, Reatto PRB 2005)

C. The ground state of bulk solid ^4He is commensurate or incommensurate?

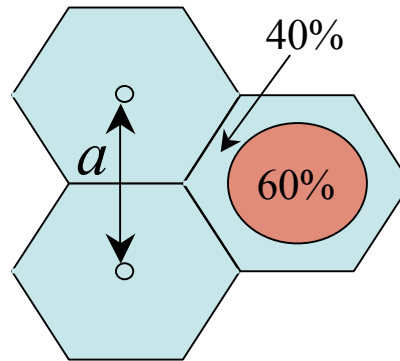
Direct measurements

- Experiment: cannot exclude vacancies below a concentration $X_v \approx 0.1\%$ at low T
- Theory: variational theory: $X_v > 0$ but X_v not computed yet; exact theory: not know

Solid ^4He : some important aspects

- Bragg scattering \rightarrow translational broken symmetry (LRO)
- Very large Lindeman ratio at low density:

$$\frac{\sqrt{\langle |\vec{r}_i - \vec{R}_{eq}|^2 \rangle}}{a} \approx 0.26$$



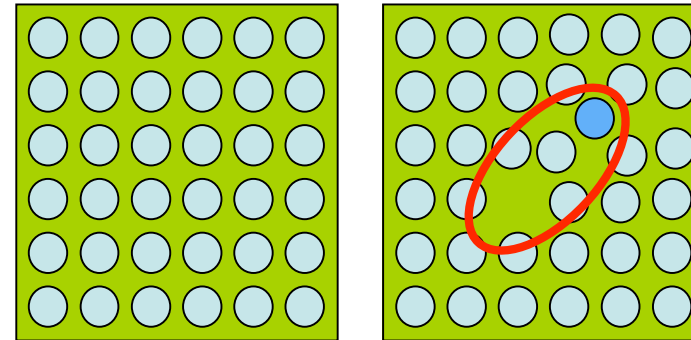
An atom has a 40% probability to be closer to the border of the Wigner Seitz cell than to the center of the cell

- Solid helium is a very **soft** solid
- One can grow almost perfect crystals (but it is not easy): large single crystal with very few dislocations

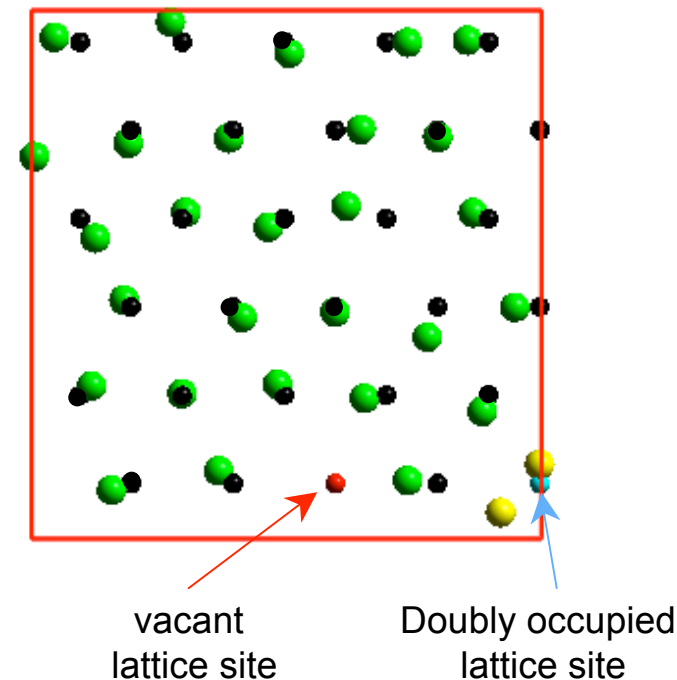
Vacancy-interstitial pairs (VIPs)

Evidence from theory

- Even in a commensurate state (n° lattice sites = n° atoms) one finds the presence of **vacancy-interstitial pairs (VIPs)**
- These VIPs are not excitations but simply fluctuations of the lattice; they are part of the large zero-point in the ground state of the solid
- The term “pairs” is used to underline the origin of these zero-point processes.
- **Are VIPs unbound?**
 - Yes for SWF variational theory
 - Not clear yet for exact ground state
- VIP frequency: ≈ 1 every $2-3 \times 10^3$ MC steps with 180 ^4He atoms $\Rightarrow X_{\text{vip}} \approx 2 \times 10^{-6}$



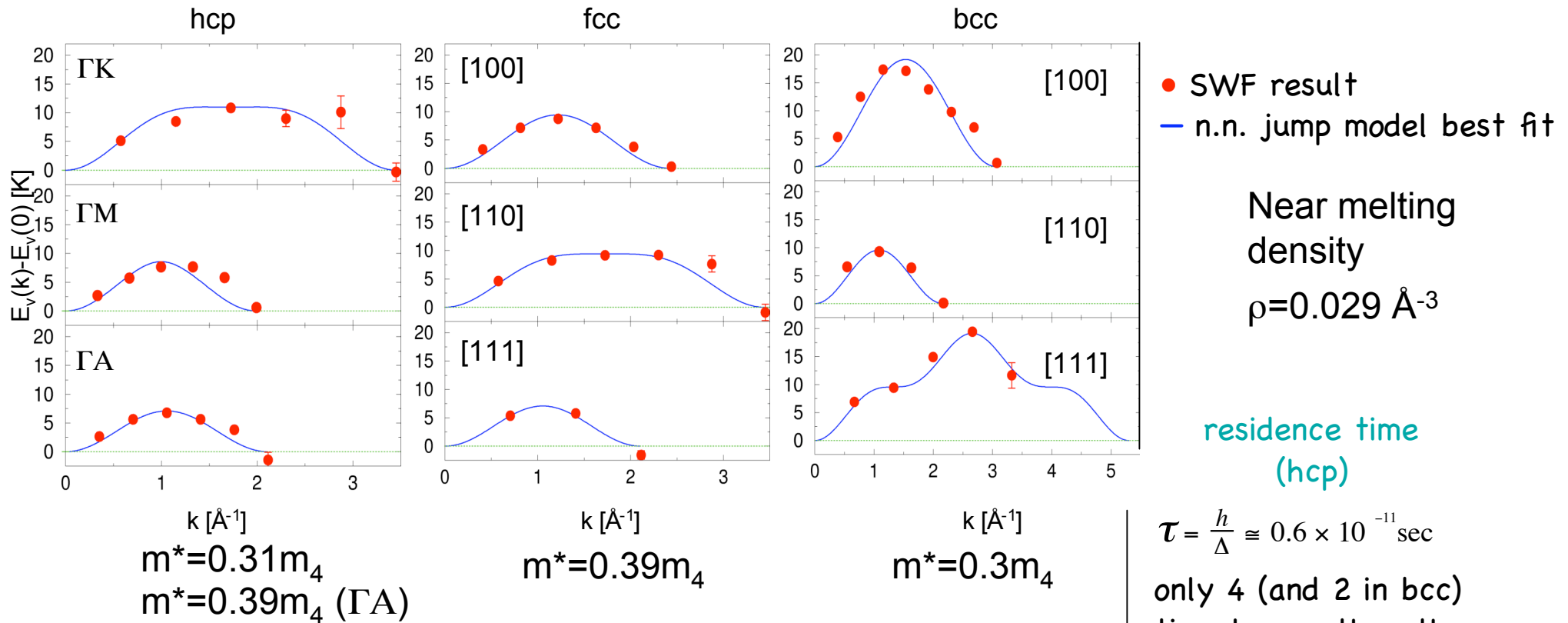
hcp basal plane $\rho = 0.029 \text{ \AA}^{-3}$



vacancy excitation spectrum (SWF result)

Galli, Reatto, Phys.Rev.Lett. 90, 2003;

Galli, Reatto, J.Low Temp.Phys. 134, 2004



- Vacancy very mobile, in agreement with recent experiments

Andreeva et al., J.Low Temp.Phys. 110, 1998

- Band width decreases at larger density

only 4 (and 2 in bcc)
time larger than the
period of high
frequency phonon in the
crystal

Variational theory of a quantum solid

- In the framework of variational theory of quantum solids the wave functions fall in **two categories**:

1. Ψ has explicit translational broken symmetry, for instance by localizing the atoms around the assumed lattice sites $\{\vec{R}_i\}$

$$\Psi(\vec{r}_1, \dots, \vec{r}_N) = \Psi_J \times \prod_i^N e^{-C|\vec{r}_i - \vec{R}_i|^2} \quad (\text{Jastrow+Nosanow})$$

→ Sum over permutation to get Bose Symmetry

2. translational invariant Ψ , **first example**:

$$\Psi_J(\vec{r}_1, \dots, \vec{r}_N) = \prod_{i < j}^N f\left(\left|\vec{r}_i - \vec{r}_j\right|\right) \quad (\text{Jastrow})$$

Second example: **Shadow Wave Function**

Our variational tool: Shadow Wave Function

Evolution of Vitiello, Runge and Kalos, Phys. Rev. Lett. 60, 1970 ('88)

Ψ Includes many particle correlations via coupling to subsidiary variables

$$\Psi(R) = \phi_r(R) \times \int dS K(R,S) \times \phi_s(S)$$

Direct explicit
Jastrow correlations

Indirect coupling via
subsidiary (shadow) variables

Particles coordinates: $R = \{\vec{r}_1, \dots, \vec{r}_N\}$

Shadow variables: $S = \{\vec{s}_1, \dots, \vec{s}_N\}$

Jastrow terms: $\phi_r(R), \phi_s(S)$ $K(R,S) = \prod_i^N e^{-C|\vec{r}_i - \vec{s}_i|^2}$

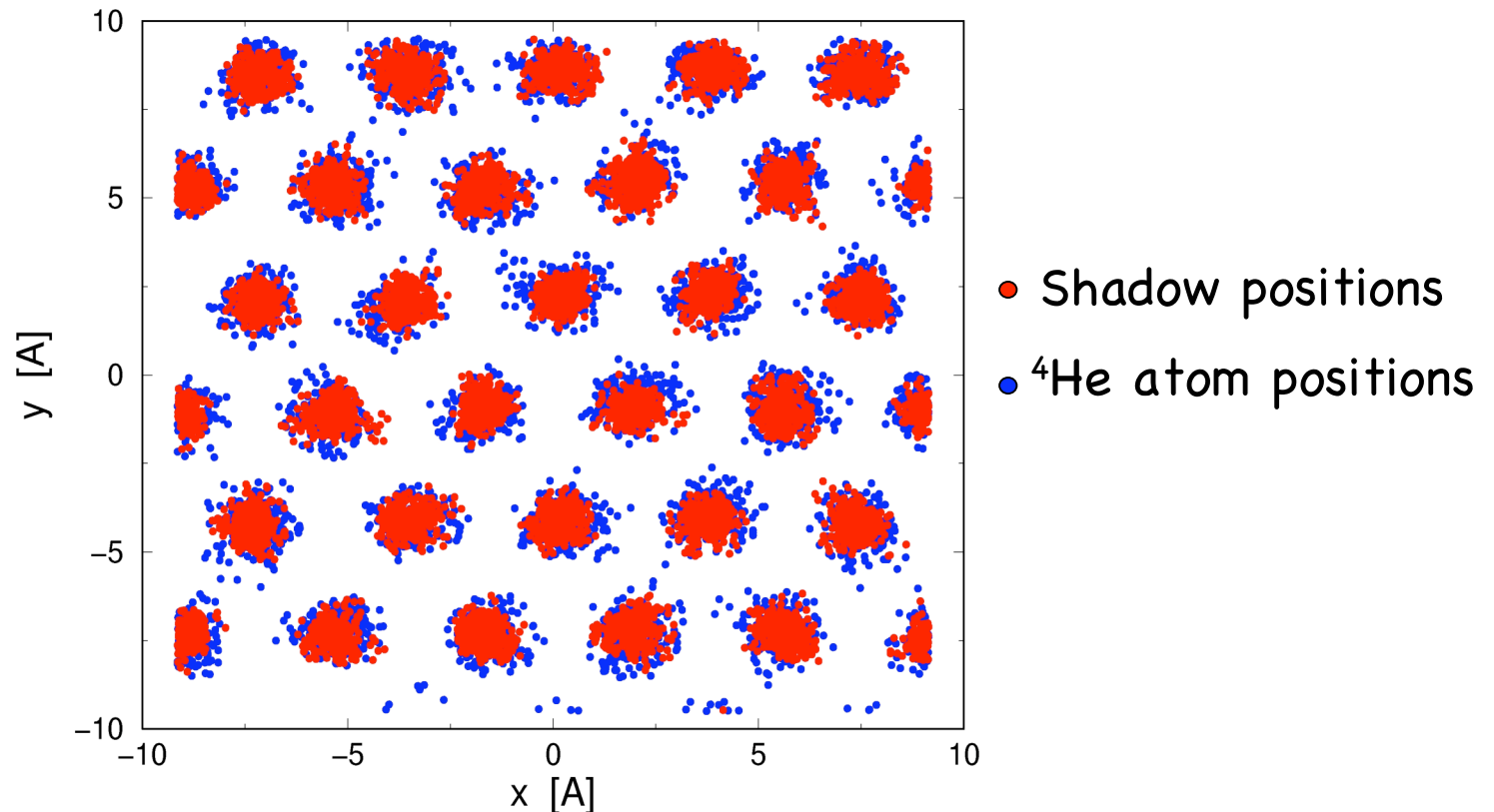
Shadow variables

- Shadow variables are strongly correlated

Spontaneous translational broken symmetry for $\rho > \rho_0$

Crystalline order of ^4He atoms induced by many-body correlations introduced by the shadow variables

SWF simulation of hcp solid ^4He : projection of the coordinates of the real and shadow particles in a basal plane for 100 MC steps



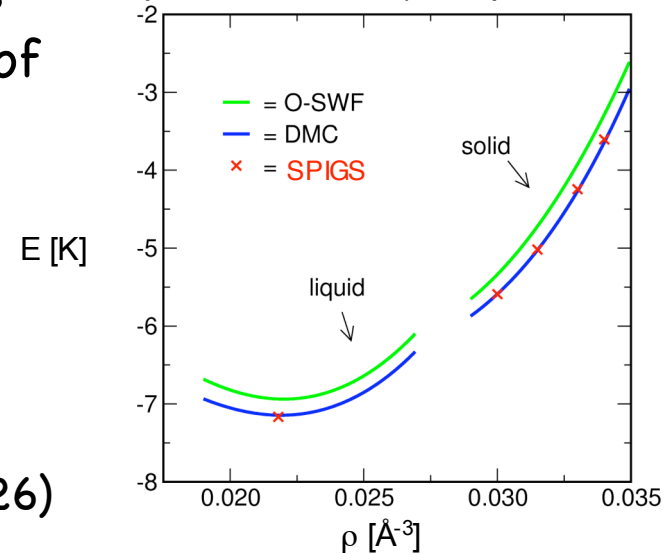
SWF: the solid phase

- Presently (a fully optimized) SWF provides the most accurate variational description of ${}^4\text{He}$ in the liquid and in the solid phase

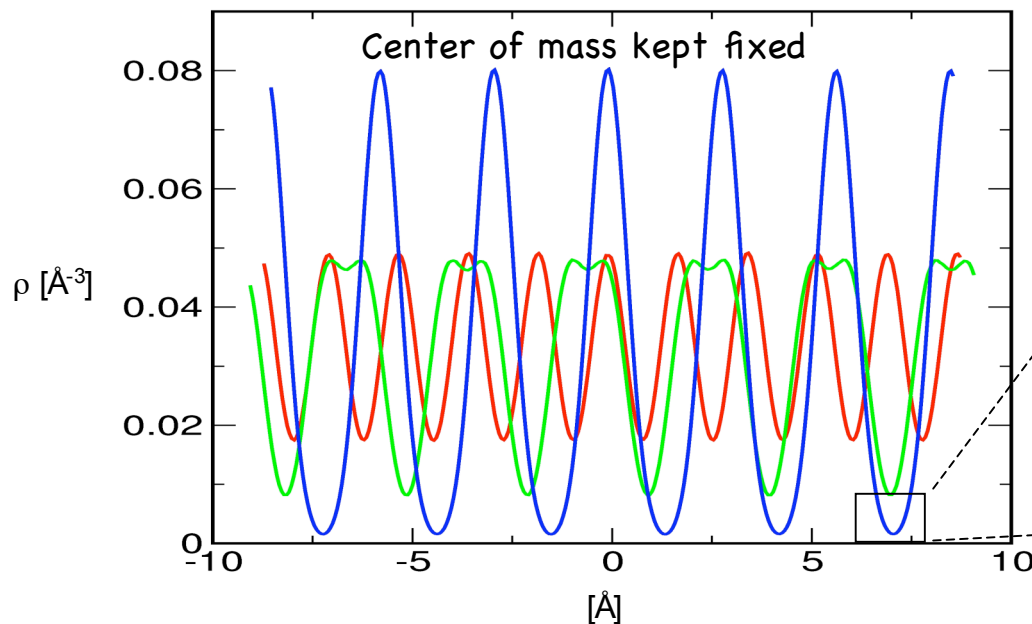
Moroni, Galli, Fantoni, Reatto, Phys.Rev.B58, 1998

- Accurate freezing and melting densities
- **Solid phase:** spontaneously broken translational symmetry
- Lindeman ratio $\sqrt{|r - R|^2} / a = 0.252$ (exper. 0.26)

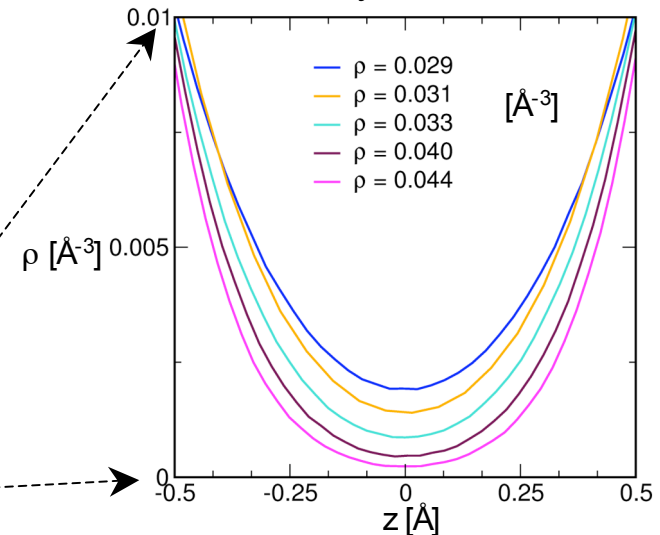
Equation of state (Aziz potential, 1979)



Local density hcp lattice $\rho = 0.029 \text{ \AA}^{-3}$



Local density: direction \perp basal plane



Projector QMC methods: Path Integral **Ground State**

Sarsa, Schmidt, Magro, J.Chem.Phys., 113, 2001

- Projector QMC: Ground state as imaginary time evolution of a trial variational state

$$\Psi_0(R) = \lim_{\tau \rightarrow \infty} \int dR' \langle R | e^{-\tau \hat{H}} | R' \rangle \Psi_T(R') \quad R \equiv \{ \vec{r}_1, \vec{r}_2, \dots, \vec{r}_N \}$$

- Path Integral representation of the propagator:

$$\Psi_0(R) = \lim_{\tau \rightarrow \infty} \int dR_1 \cdots dR_M \langle R | e^{-\frac{\tau}{M} \hat{H}} | R_1 \rangle \times \cdots \times \langle R_{M-1} | e^{-\frac{\tau}{M} \hat{H}} | R_M \rangle \Psi_T(R_M)$$

- Approximation: finite imaginary time propagation

$$\Psi_0(R) \cong \int dR_1 \cdots dR_N \langle R | e^{-\frac{\tau}{M} \hat{H}} | R_1 \rangle \times \cdots \times \langle R_{M-1} | e^{-\frac{\tau}{M} \hat{H}} | R_M \rangle \Psi_T(R_N)$$

Accurate approximation for the short-time propagator, es: Pair-Product (Ceperely, Rev.Mod.Phys. 67, 1995)

- With SPIGS: as Ψ_T we use the SWF

Is the ground state of bulk solid ^4He commensurate or incommensurate?

- Early theoretical works were based on the assumption of zero-point vacancies (Andreev and Lifshitz, JETP 93 1969; Chester, Phys.Rev.A 2 1970)
- If ground state vacancies are present this will have significant effects on low T behavior of solid ^4He
(phenomenological theory by P.W. Anderson, W.F. Brinkman, D.A. Huse, Science 310 2005)
- **Naive answer:** it is **commensurate** because computation of $\langle \Psi_0 | \hat{H} | \Psi_0 \rangle$ for the perfect solid with one vacancy allowed to estimate a vacancy formation energy $\Delta e_v > 0$

Vacancy formation energy Δe_v at melting density (fixed density)

| Method | lattice | Δe_v |
|--------|---------|--------------|
| SPIGS | hcp | 15.7±0.8 |
| SWF | | 15.6±0.6 |

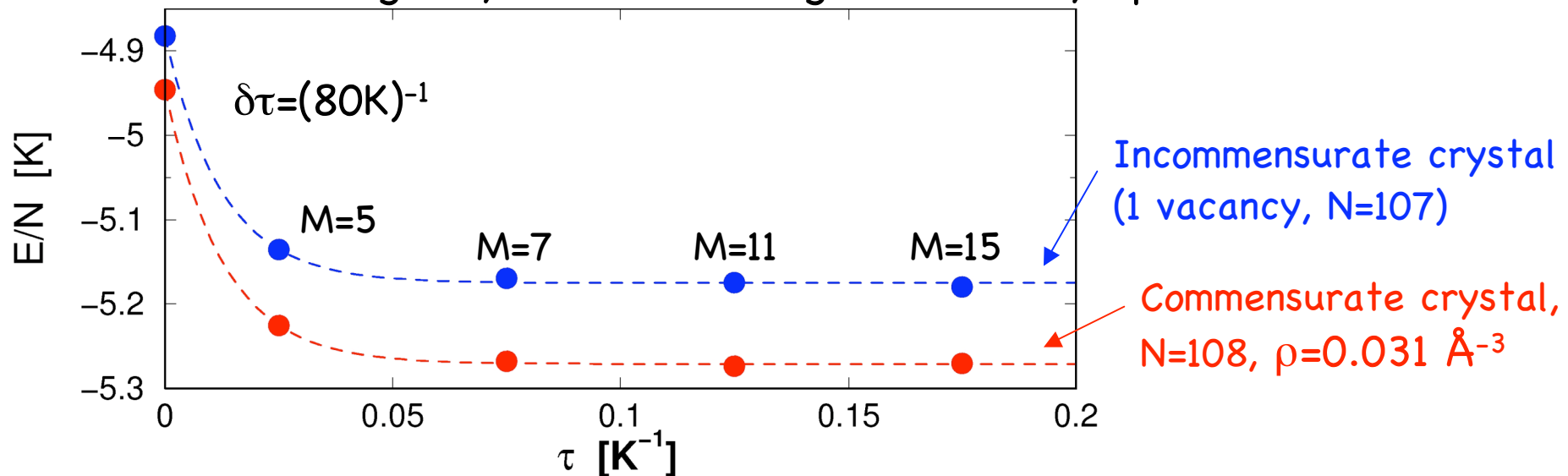
Galli, Reatto
J.Low Temp.Phys. 134 2004.

- **This argument is not conclusive:** one has deduced Δe_v from computation of the **ground state energy** of **two different systems**, Δe_v is a derived quantity as an estimate of the extra energy due to the presence of one additional vacancy

Example of computation of Δe_v

- | | | | |
|--|---|-----|-----|
| <ul style="list-style-type: none"> System 1: commensurate state 108 atoms in a cubic box of volume V with periodic boundary conditions in which fcc lattice fits exactly | Local density $\rho(\vec{r})$ has 108 maxima $\Rightarrow n^\circ \text{ sites} = n^\circ \text{ atoms}$ <table border="0" style="margin-left: 20px;"> <tr> <td style="padding-right: 20px;">108</td> <td>108</td> </tr> </table> | 108 | 108 |
| 108 | 108 | | |
| | | | |
| <ul style="list-style-type: none"> System 2: incommensurate state 107 atoms in the same box | Local density $\rho(\vec{r})$ has 108 maxima $\Rightarrow n^\circ \text{ sites} \neq n^\circ \text{ atoms}$ <table border="0" style="margin-left: 20px;"> <tr> <td style="padding-right: 20px;">108</td> <td>107</td> </tr> </table> | 108 | 107 |
| 108 | 107 | | |

Evolution in imaginary time τ starting from a fully optimized SWF



Both wave functions are non negative and represent **two ground states**:
 depending on N and box shape the ground state for this small system with pbc is commensurate or incommensurate

Commensurate or incommensurate?

Commensuration effects in small system makes difficult to answer this question, one has to analyze an extended system of \mathcal{N} particles in a volume V so large that boundary conditions have a negligible role

⇒ Similar to what one has to do to treat vacancies in a classical system

Consider translational invariant wave functions

I Example: Jastrow wave function $\Psi_J(\vec{r}_1, \dots, \vec{r}_{\mathcal{N}}) = \prod_{i < j} e^{-\frac{1}{2}u(r_{ij})} / Q_{\mathcal{N}}^{1/2}$

(old argument by Chester)

$$\text{Normalization constant: } Q_{\mathcal{N}} = \int d\vec{r}_1 \dots d\vec{r}_{\mathcal{N}} \prod_{i < j} e^{-u(r_{ij})}$$

Ground state averages with $|\Psi_J|^2 \rightarrow$ N classical particles at $\beta^* = 1/kT^*$ and with pair potential $v^*(r)$ such that $\beta^*v^*(r) = u(r)$

Normalization constant $Q_{\mathcal{N}} \rightarrow$ canonical configurational partition function of this classical system

From analysis of $Q_{\mathcal{N}}$ of a classical solid \rightarrow the lowest free energy corresponds to a state with a finite concentration $\bar{X}_v = (\mathcal{M} - \mathcal{N}) / \mathcal{N}$ of vacancies

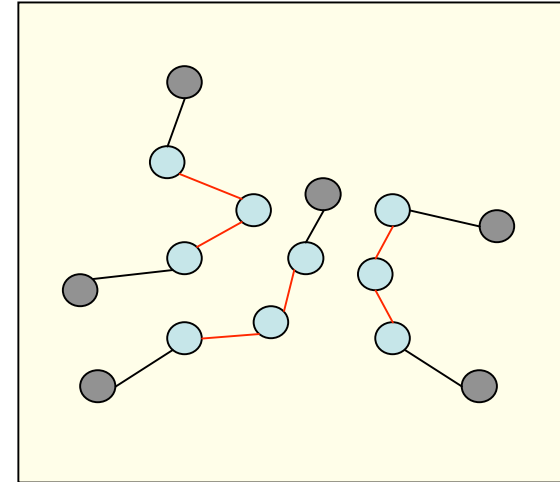
Consequence for the quantum system:
 Ψ_J of an extended system has a finite concentration of vacancies

| |
|----------------------------------|
| \mathcal{M} : n° lattice sites |
| \mathcal{N} : n° particles |

Commensurate or incommensurate?

Exact ground state averages as given by SPIGS

- Equivalence with a classical system of N open polymers of M beads
- Also here there is a finite concentration $\bar{X}_v(M)$ of vacancies



Therefore SPIGS with a finite number M of projections has a finite $\bar{X}_v(M)$, however $\bar{X}_v(M)$ might vanish in the limit $M \rightarrow \infty$

- At present no computation of $\bar{X}_v(M)$ has been performed

Conclusion: the nature commensurate or incommensurate of bulk solid ^4He at $T=0$ K is undecided from microscopic theory

ODLRO - Commensurate state

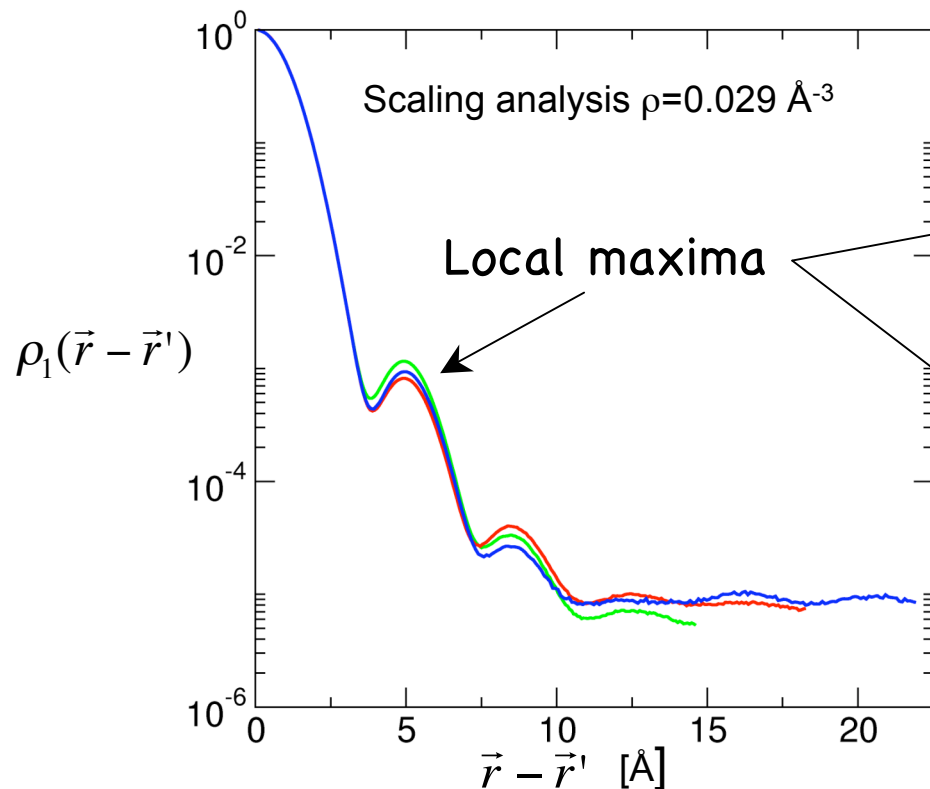
SWF results: ODLRO in commensurate solid ^4He

Galli, Rossi, Reatto, Phys.Rev. B 71, 2005

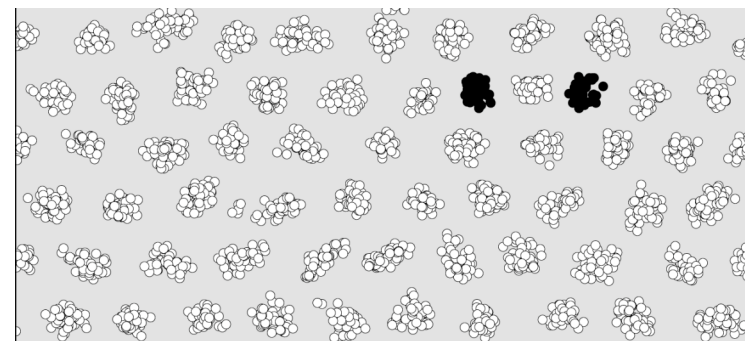
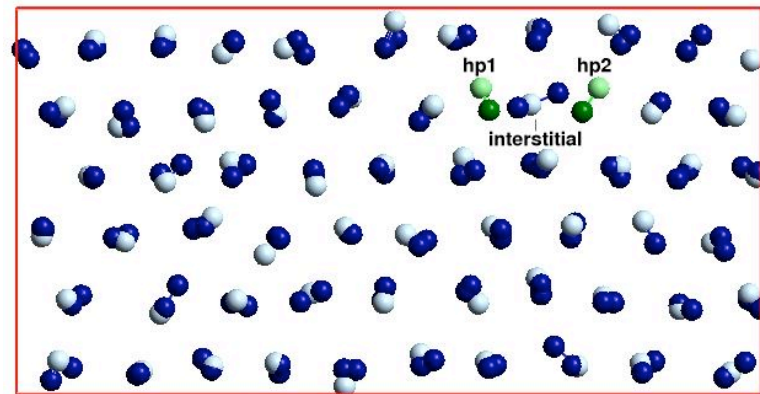
- ODLRO is present: $n_0 \approx 5 \pm 2 \times 10^{-6}$ at melting and for a finite range of densities (up to 54 bars)
- No finite-size effects
- Key process is the presence of VIPs

ODLRO:
microscopic origin

$$\rho_1(\vec{r}, \vec{r}') = \langle 0 | \hat{\Psi}^\dagger(\vec{r}) \hat{\Psi}(\vec{r}') | 0 \rangle$$



Snapshot of SWF trimers in a basal plane

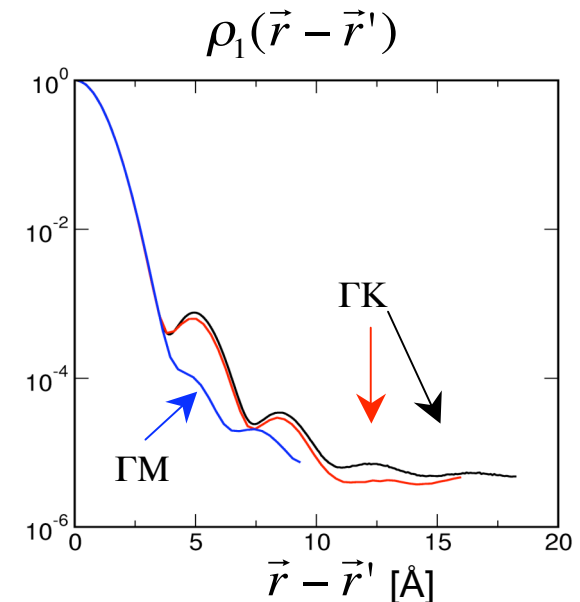
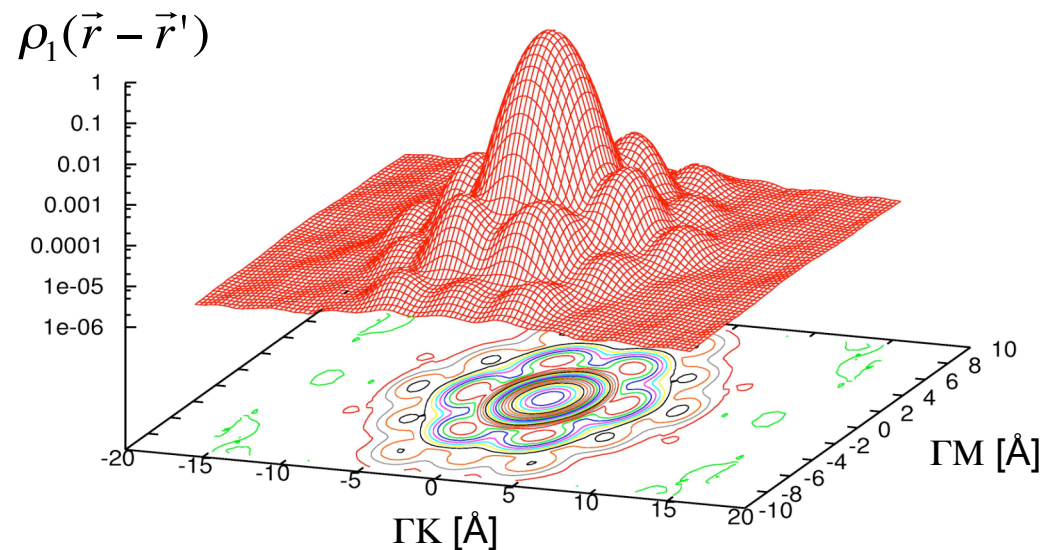
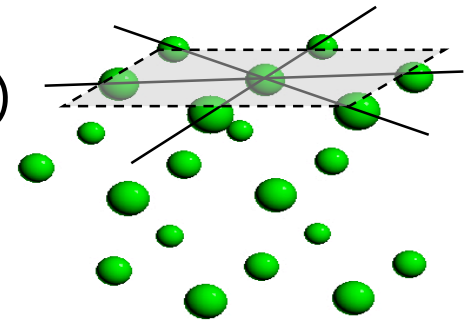


ODLRO - Commensurate state

Commensurate crystal

SWF results: ODLRO in a basal plane

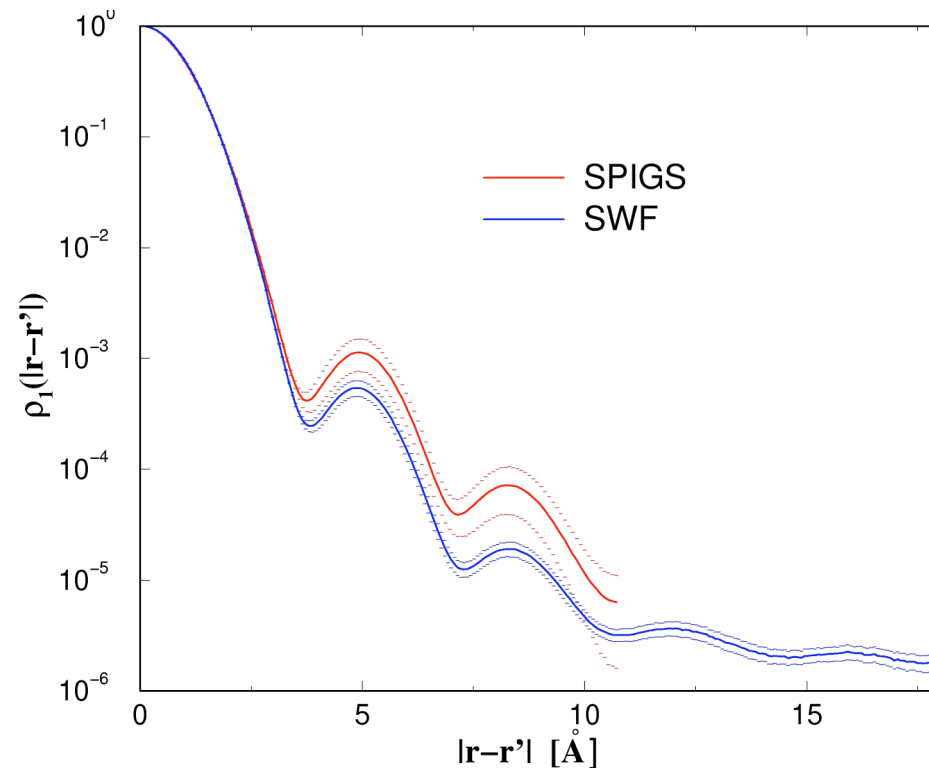
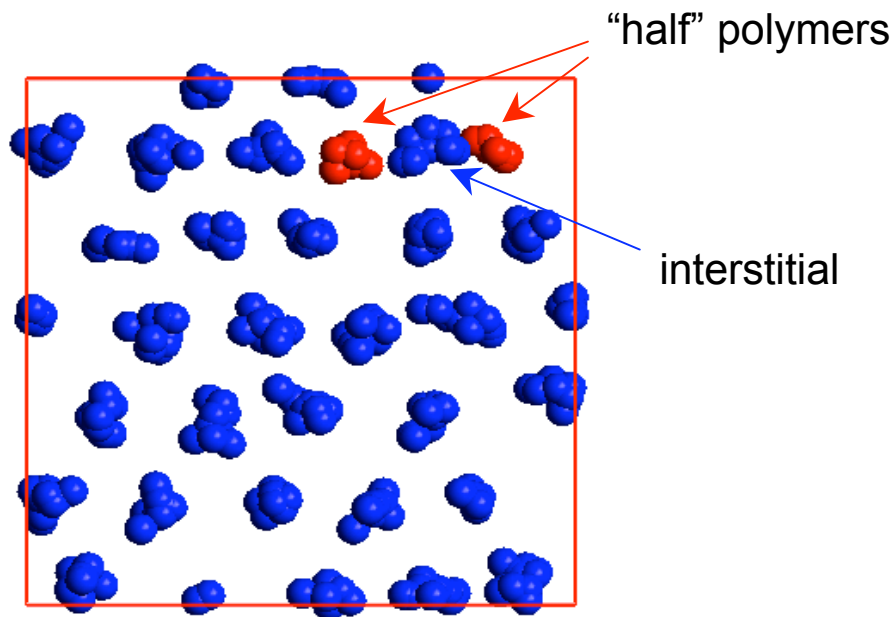
- We have computed the one-body density matrix in a basal plane of an hcp solid and along a single axis (n.n. direction)
- ODLRO is present and it is anisotropic only in the middle range 3-14 Å
- Good agreement with the result obtained by sampling in one dimension (n.n. direction)



ODLRO - Commensurate state

One-body density matrix: SPIGS results

- Calculations of the one-body density matrix in fcc solid ^4He at $\rho=0.031 \text{ \AA}^{-3}$ (this corresponds to pressure $p \approx 54$ bars) with SPIGS
- Pair-product approximation: $\delta\tau=(40 \text{ K})^{-1}$; $\tau=0.075 \text{ K}^{-1}$ ($P=2M+1=7$; $M=3$)
- Oscillations in the tail region are still present (VIPs), the SPIGS result up to 10 \AA is above the SWF result
- The system is too small to conclude that ODLRO is present, computation for larger systems is under way

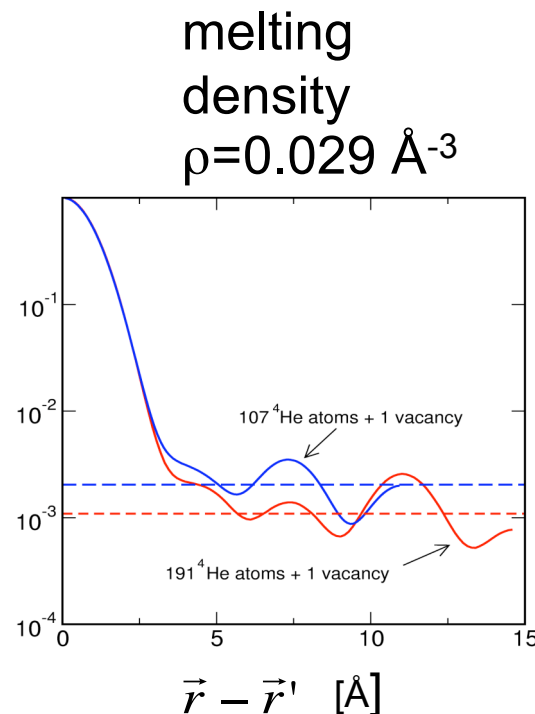
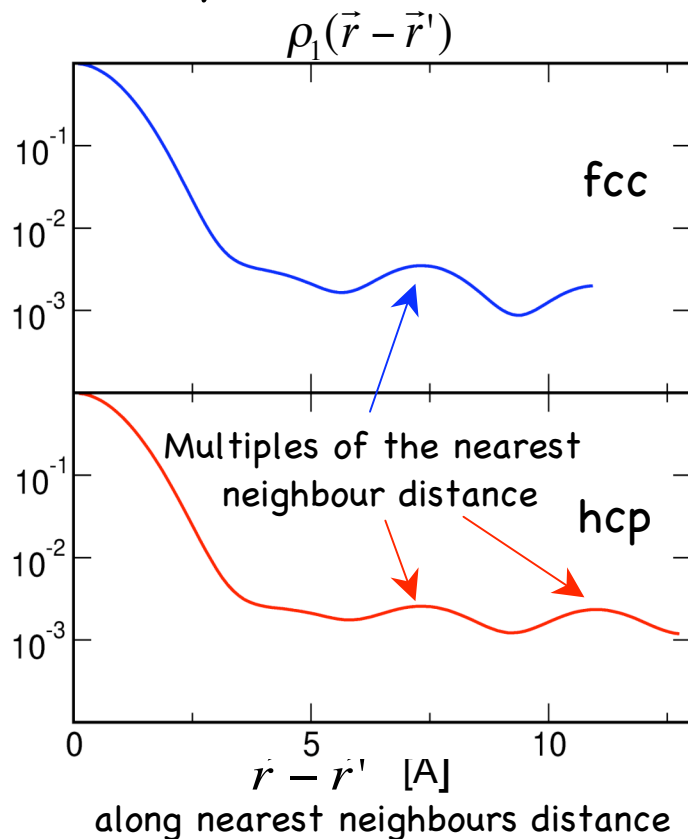


ODLRO - Incommensurate state

Incommensurate solid, SWF results:

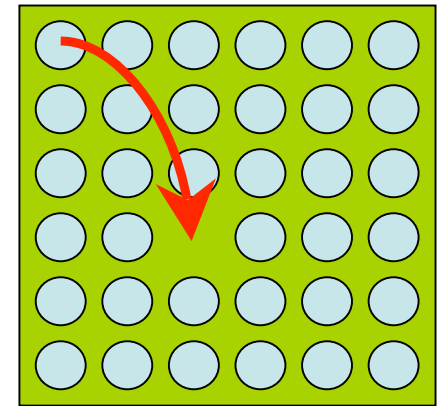
(Galli, Reatto, J. Low. Temp. Phys. 124, 2001)

- ODLRO is present in the low density incommensurate solid
- $\rho_1(\vec{r} - \vec{r}')$ is Gaussian like only for small distances
- Position of maxima of ρ_1 indicate that ODLRO is vacancy induced in this case



ODLRO:
microscopic origin

$$\rho_1(\vec{r}, \vec{r}') = \langle 0 | \hat{\Psi}^\dagger(\vec{r}) \hat{\Psi}(\vec{r}') | 0 \rangle$$



Condensate fraction
proportional to the
concentration of vacancies

$$n_0 = 0.22 X_v$$

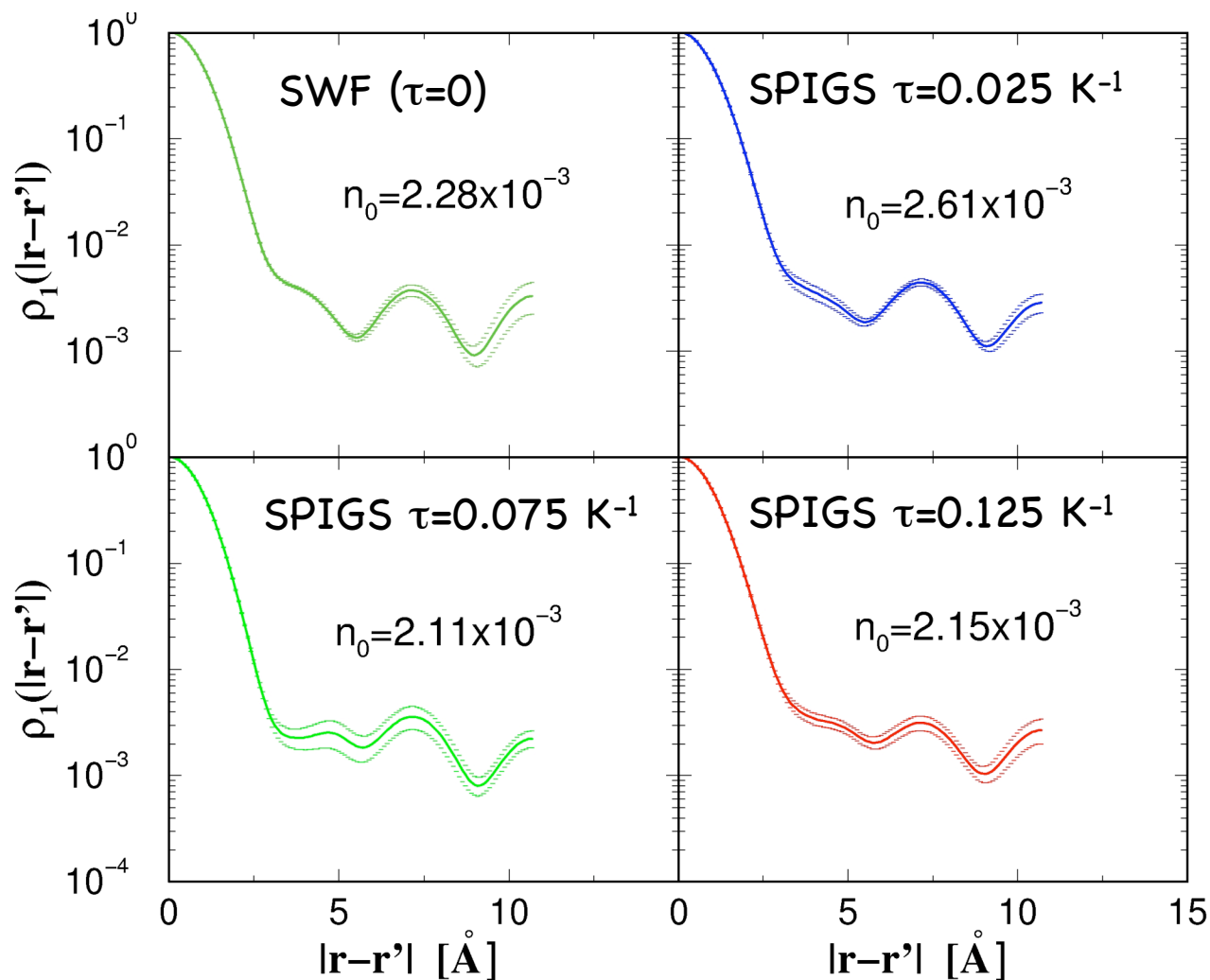
at melting density

Similar values in fcc and in hcp

ODLRO - Incommensurate state

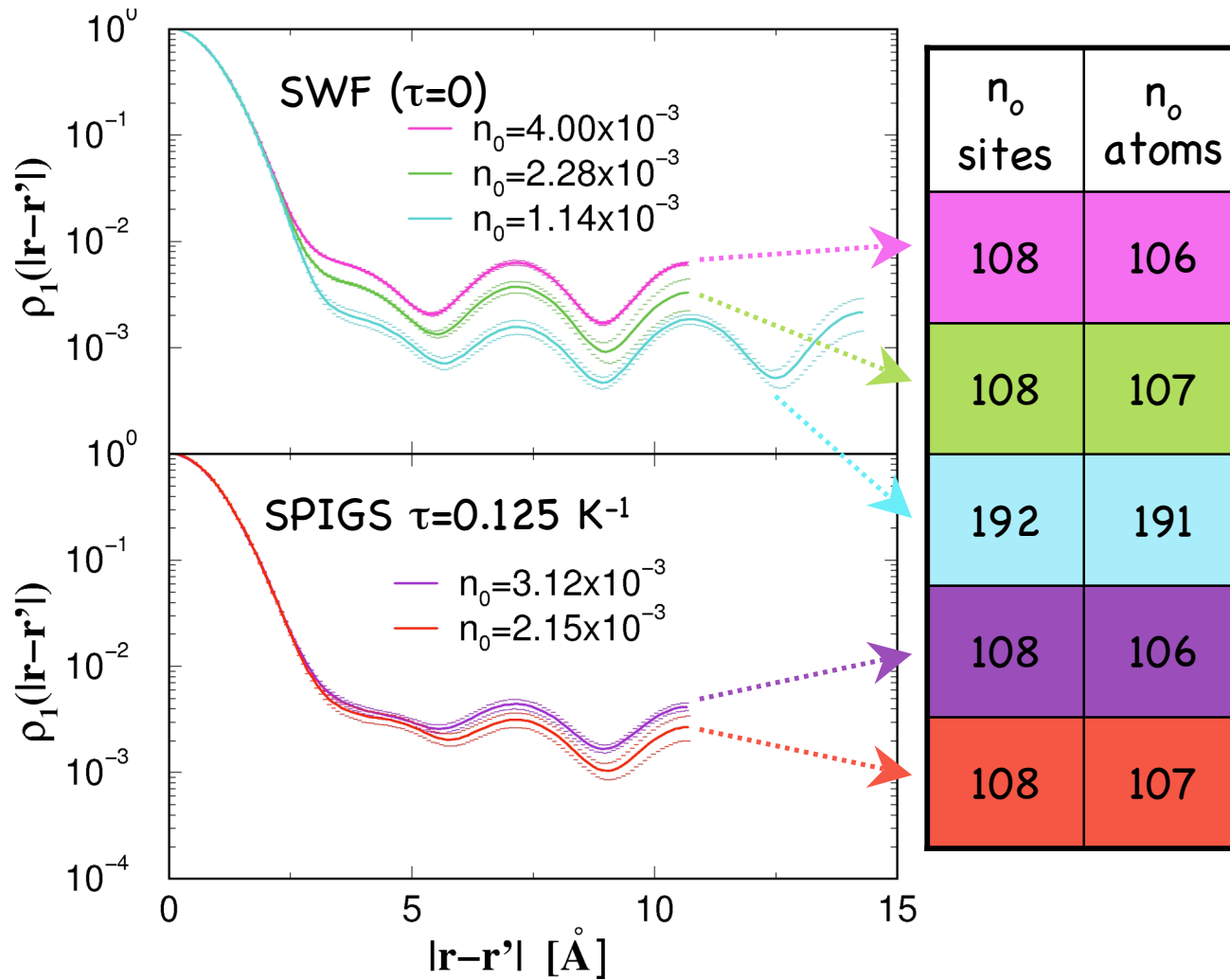
Incommensurate solid, SPIGS results: ODLRO in solid ^4He with vacancies

(Galli, Reatto, PRL 2006)



- Sampling along nearest neighbors direction
- **fcc** $\rho=0.031 \text{ \AA}^{-3}$
 $P=54$ bars
pair-product approximation
 $\delta\tau=(40 \text{ K})^{-1}$
- **ODLRO is still present with SPIGS**

ODLRO - Incommensurate state



Condensate fraction
proportional to the
concentration X_v of
vacancies

$$n_0 = 0.23X_v \text{ at } 54 \text{ bars}$$

Vacancies are
very efficient in
inducing BEC:
vacancies as ideal B. gas
with effective mass

$$m^* = 0.35m_{4\text{He}}$$

$$T_{\text{BEC}} \approx 10.8X_v^{2/3}$$

| X_v | T_{BEC} |
|----------------------|------------------|
| 2.3×10^{-3} | 200 mK |
| 2.9×10^{-4} | 50 mK |
| 2.6×10^{-5} | 10 mK |
| 0.8×10^{-6} | 1 mK |

Conclusions

I have discussed some aspects related to the supersolid state of ^4He at $T=0$ K

A. Is the ground state of bulk solid ^4He commensurate or incommensurate?

This is still an open question:

- The best variational wave function (SWF) describe an incommensurate state but the equilibrium concentration X_v of ground state vacancies has not yet been computed
- For the exact ground state we do not know if X_v is finite

B. ODLRO-BEC in the commensurate solid

- Variational theory (SWF) gives BEC with $n_0 \approx 0.01\%$ at 54 bars
- Exact ground state path integral (SPIGS) result is compatible with similar value of n_0 but system size at the moment is too small to be conclusive

C. ODLRO-BEC in the incommensurate solid

- Both variational (SWF) and exact (SPIGS) computations give a finite BEC, n_0 scales with concentration X_v of vacancies \rightarrow at 54 bars the condensate is $\approx 0.23X_v$

- Vacancies (either equilibrium or non equilibrium) are very efficient to give BEC: estimated $T_{\text{BEC}} = 200$ mK for $X_v = 2 \times 10^{-3}$, $T_{\text{BEC}} = 10$ mK for $X_v = 3 \times 10^{-5}$

Under investigation :

- SPIGS computation for larger system to assess presence of ODLRO-BEC in the commensurate solid
- Determination of the equilibrium concentration of vacancies in the ground state
- Effects on BEC and on vacancies of ^3He impurities