

# Single-electron tunneling with strong mechanical feedback

**Yaroslav M. Blanter**

Kavli Institute of Nanoscience  
Delft University of Technology

- Omar Usmani
- Yuli Nazarov

Can mechanical motion considerably modify transport properties of a SET device at weak coupling?

# Nanoelectromechanical systems

**NEMS** – nanoscale devices which convert electrical current into mechanical energy or vice versa.

## Experiments: precise measurements

attoNewtons of force (*Stowe et al '97*)

electrometry (*Cleland and Roukes '98*)

quantum of thermal conductance (*Schwab et al '00*)

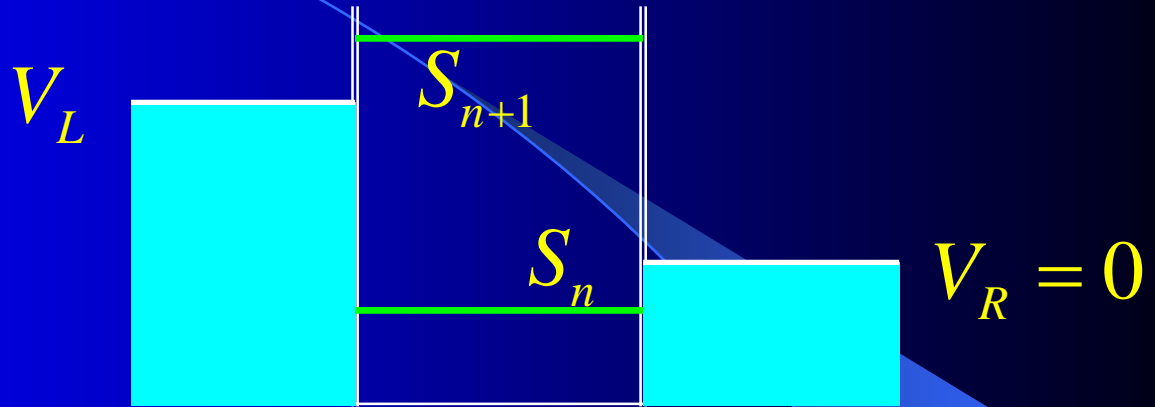
Casimir force (*Chan et al '01*)

**Possible applications:** nanoscale sensors and actuators

# Coulomb blockade

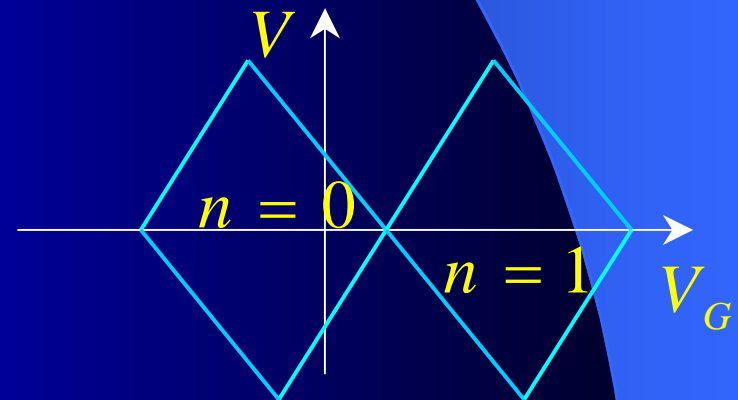
$$S_n = W_{n+1} - W_n$$

$$\approx \left(n + \frac{1}{2}\right) \frac{e^2}{C_G} - eV_G$$



Conditions that current does **not** flow:

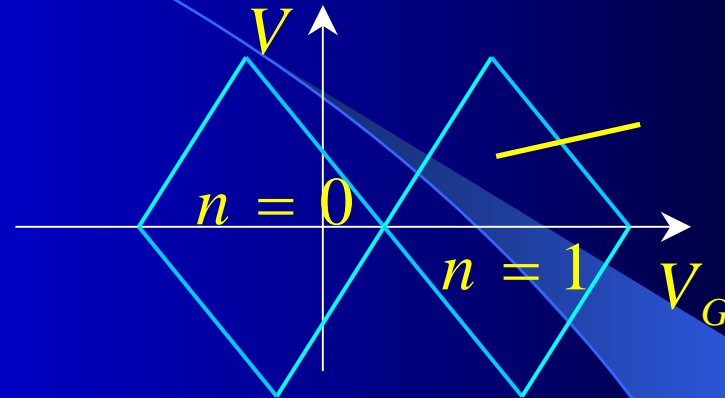
- (a)  $S_{n+1} > eV_L$       (b)  $S_n < eV_L$   
 (c)  $S_{n+1} > 0$       (d)  $S_n < 0$



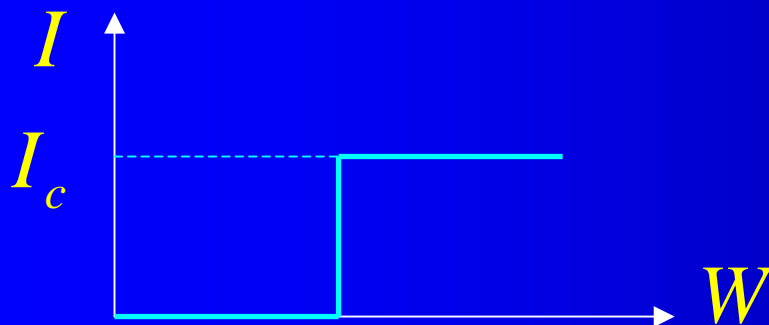
Linear dependence  $\longrightarrow$  Coulomb diamonds

# Coulomb blockade - Current

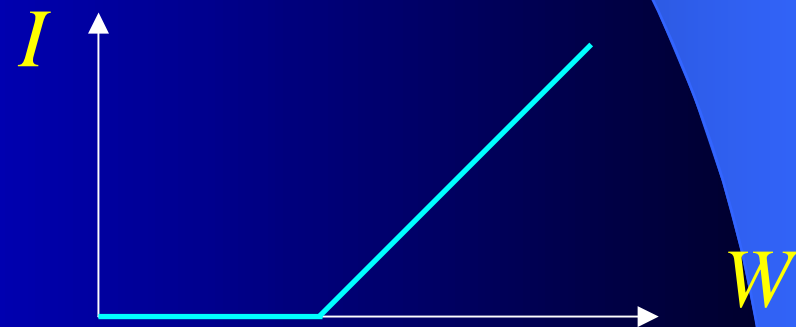
$$S_n = W_{n+1} - W_n$$
$$\approx \left(n + \frac{1}{2}\right) \frac{e^2}{C_G} - eV_G$$



Current along the yellow line:



One resonant level

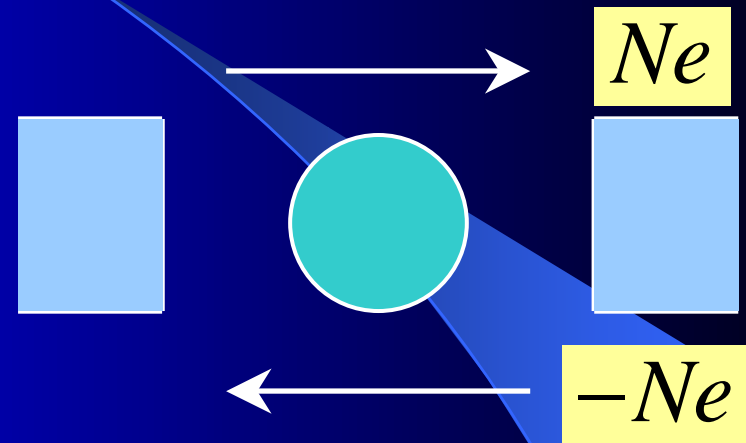


Continuum of levels

# Shuttling

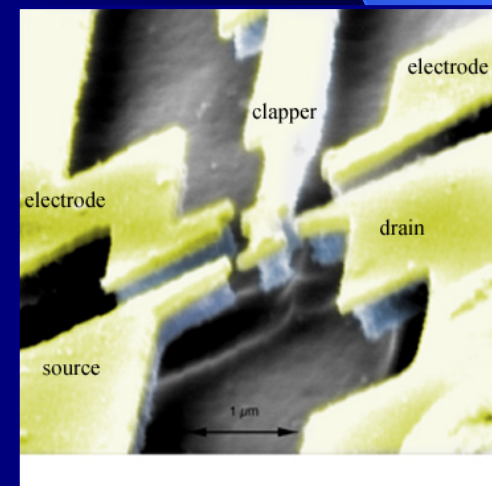
➤ **Shuttling:** First theoretical proposal by Gorelik *et al* '98

Electrostatically induced periodic motion of the shuttle (central island of the transistor)



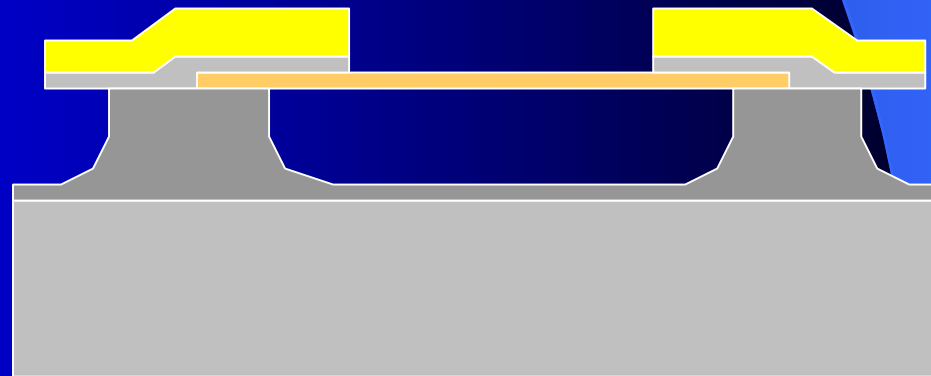
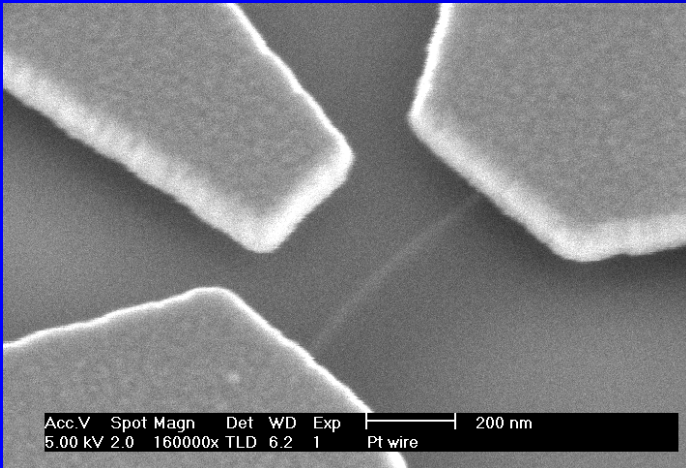
Experiments (all ac driven):

- Classical shuttle (Erbe *et al* '98)
- Silver grain (Tuominen *et al* '99)
- Fullerene molecule (Park *et al* '00)
- ac driven cantilever (Erbe *et al* '01)

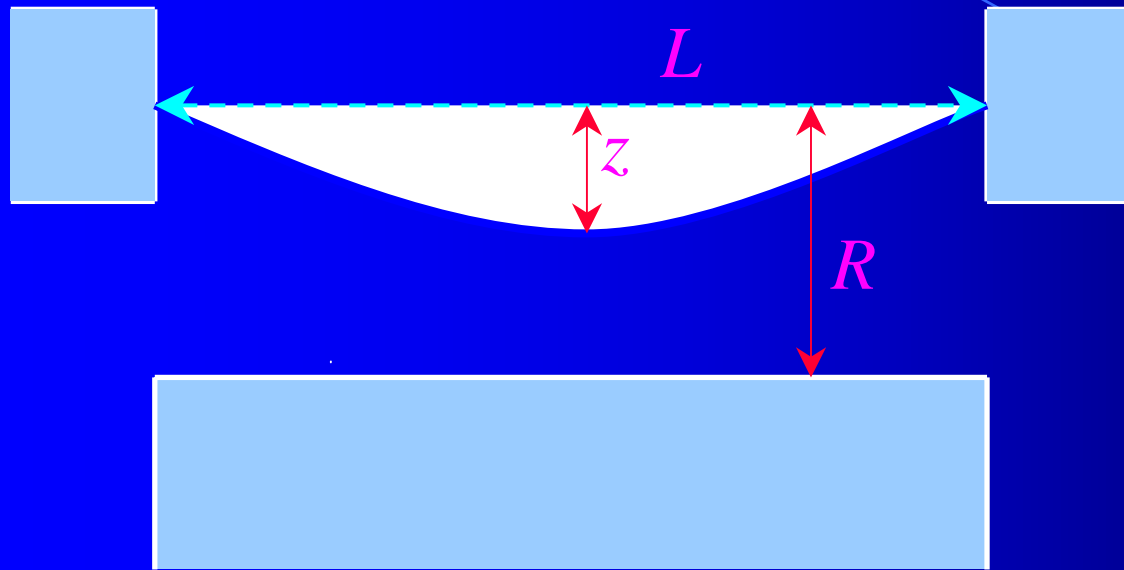


# Suspended beams - experiments

- ❖ Phonon blockade (*Weig et al* '04)
- ❖ Tuning eigenfrequencies with the gate (*Sazonova et al* '04)
- ❖ Phonon-mediated tunneling (*Sapmaz et al*, '05;  
*Leroy et al*, '04)
- ❖ Quest for quantized mechanical motion (*Knobel and Cleland*, '03; *De la Haye et al* '04)



# Modeling suspended nanotubes

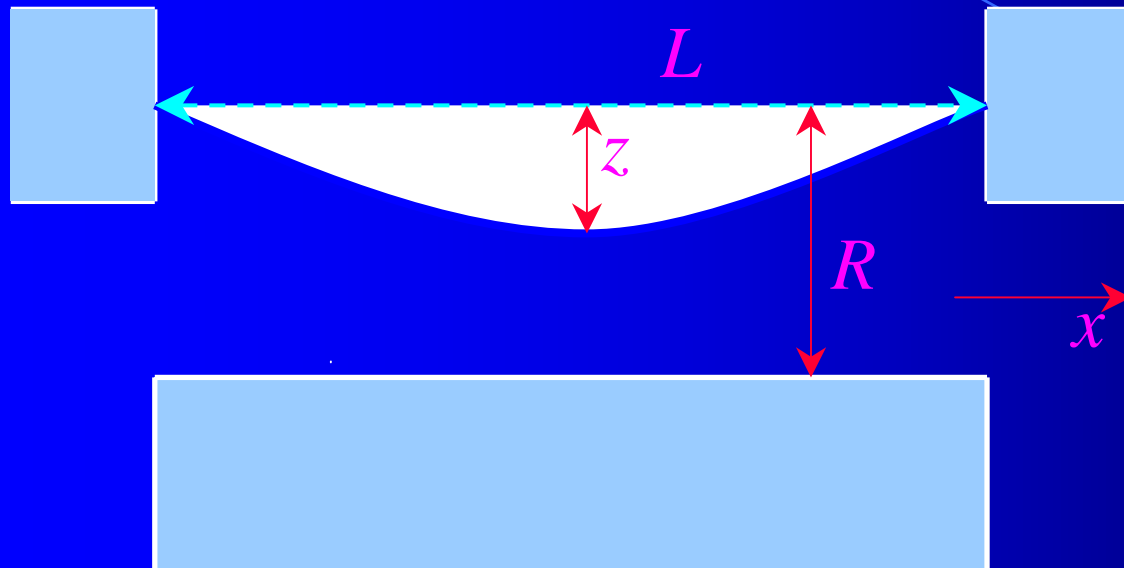


Sapmaz, Y.M.B., Gurevich,  
van der Zant '02

## Simple-minded model:

- Interaction effects taken into account via charging energy;
- Mechanical degrees of freedom via classical theory of elasticity; nanotube modeled as an elastic rod

# Elastic energy



Elastic modulus

$$W_{el}\{z(x)\} = \int_0^L dx \left\{ \frac{EI}{2} z''^2 + \frac{T}{2} z'^2 \right\}$$

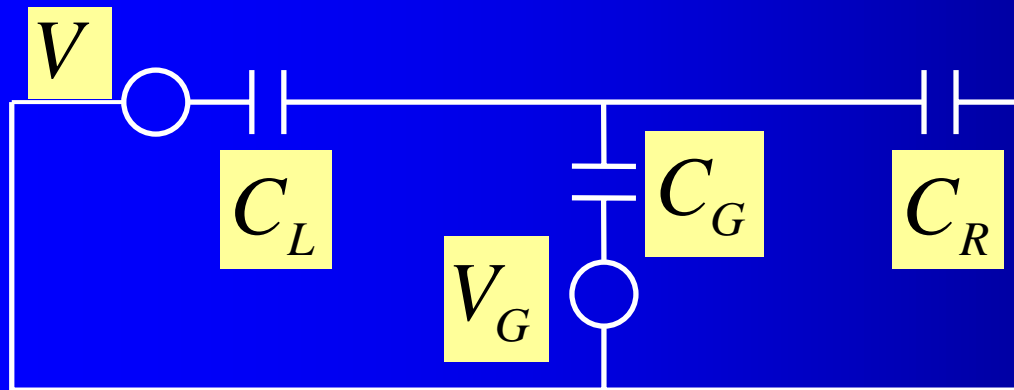
Residual stress

$$T = T_0 + \frac{ES}{2L} \int z'^2 dx$$

Stress induced by bending



# Electrostatic energy



$$C_L, C_R \ll C_G$$

$$C_G = \int \frac{dx}{2 \ln \frac{2(R - z[x])}{r}}$$

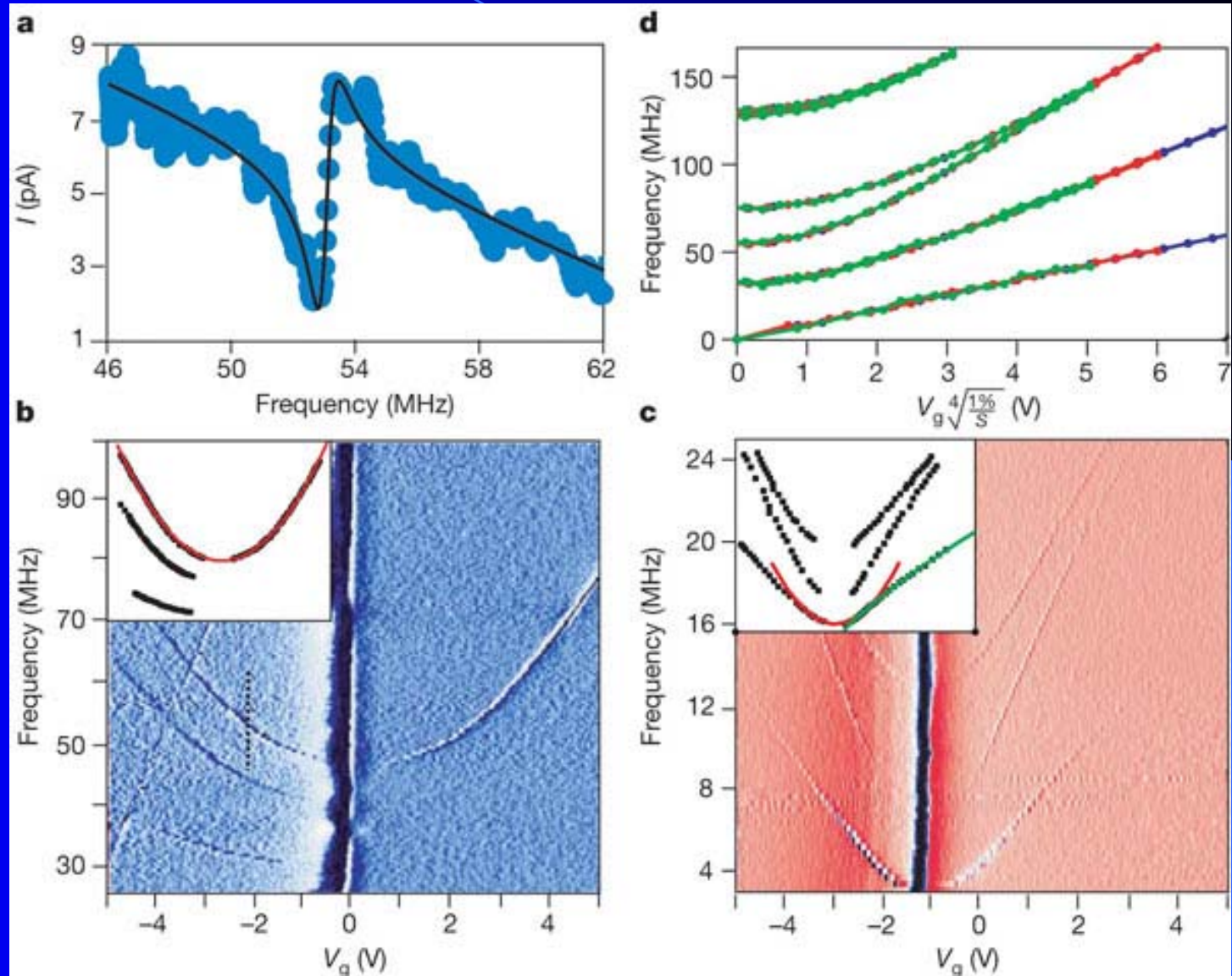
$$W_{e-st} \{z[x]\} = \frac{(ne)^2}{2C_G\{z\}} - neV_G \approx \frac{(ne)^2}{2C_0} - neV_G - \frac{(ne)^2}{L^2 R} \int z[x] dx$$

$$F_n = \frac{(ne)^2}{LR}$$

Electrostatic force

# Bending modes

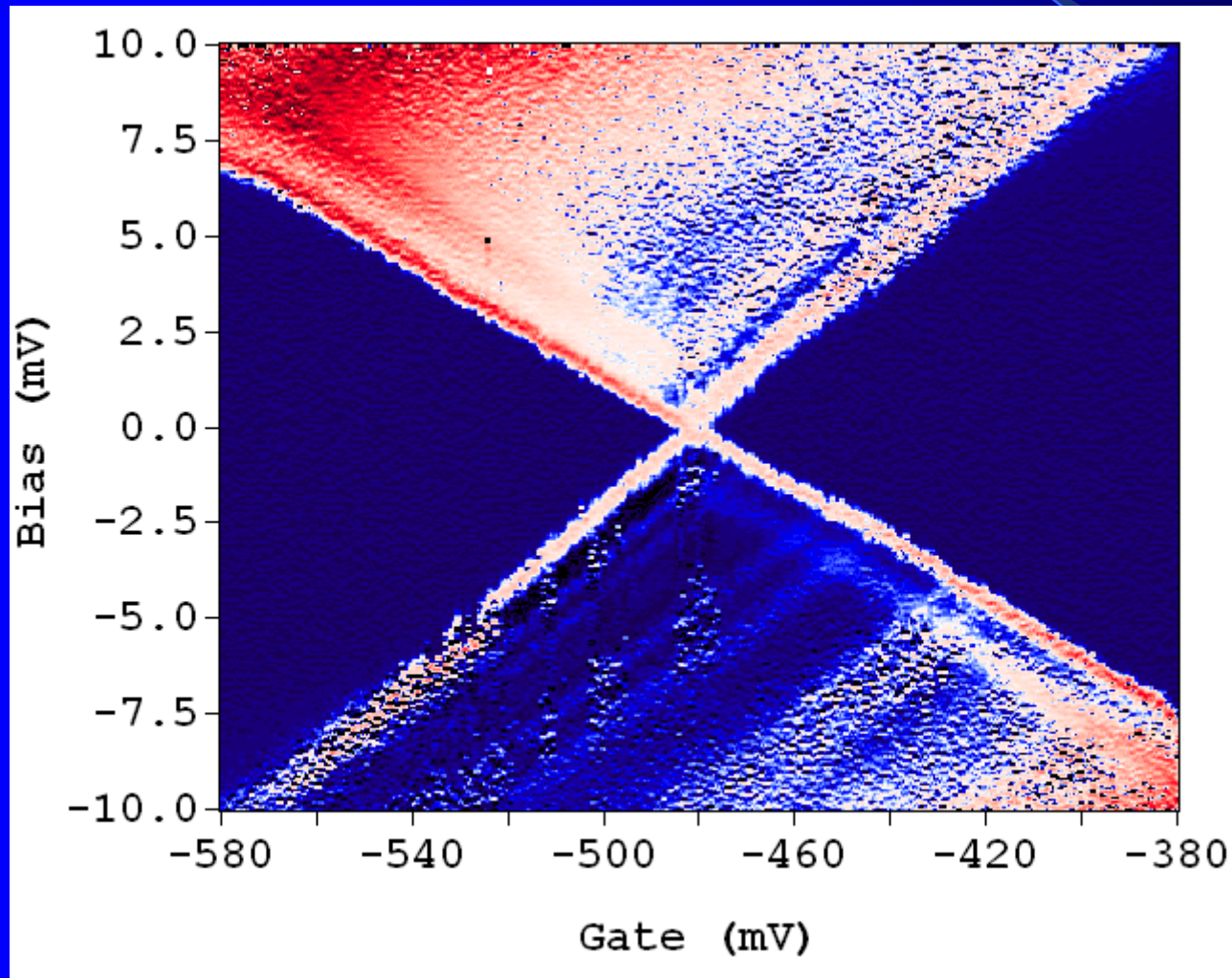
Sazonova et al '04



# Stability diagram – Delft experiments

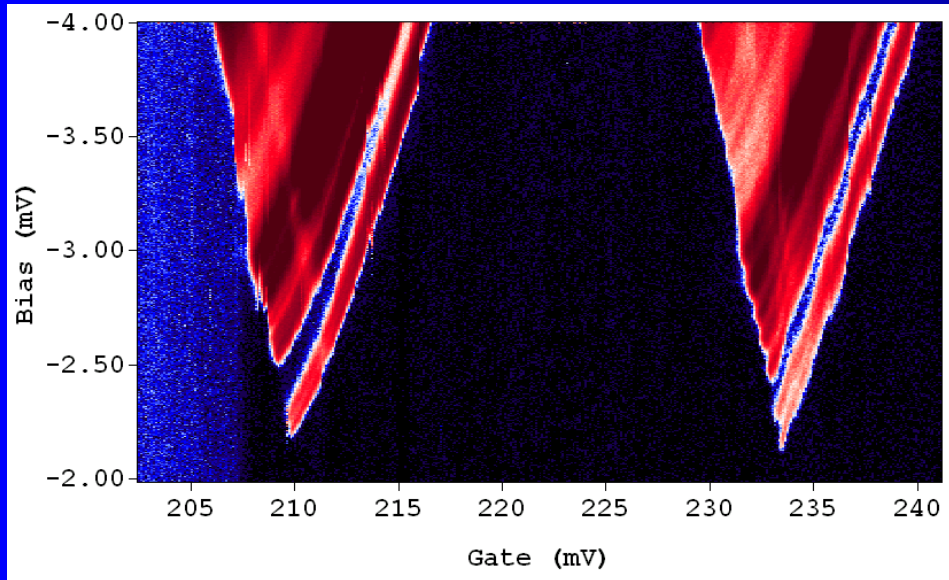
Sapmaz, Jarillo-Herrero, Y.M.B.,  
Dekker, van der Zant, '05

$L=140\text{nm}$ ,  
 $T=300\text{mK}$

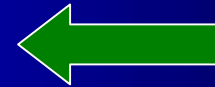


# Stability diagram – Delft experiments

Sapmaz, Jarillo-Herrero, Y.M.B.,  
Dekker, van der Zant, '05



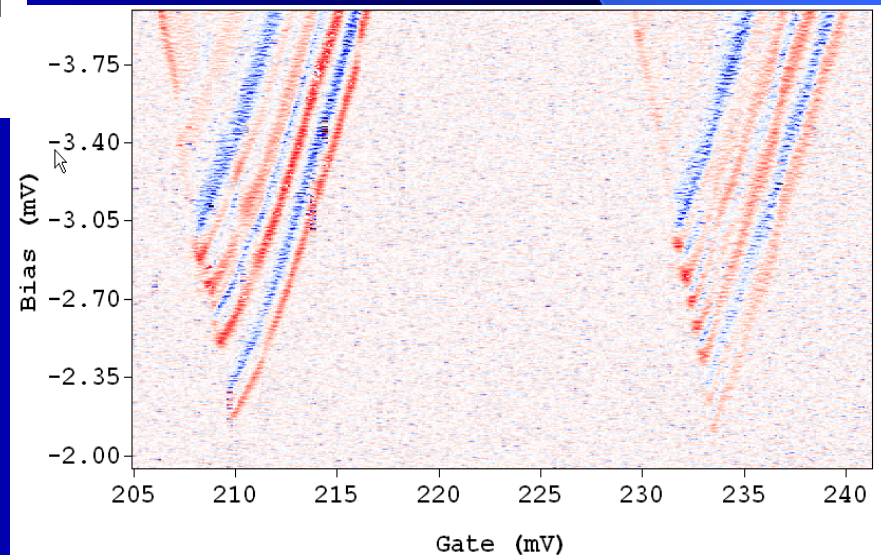
$\ln I$



$dI / dV$



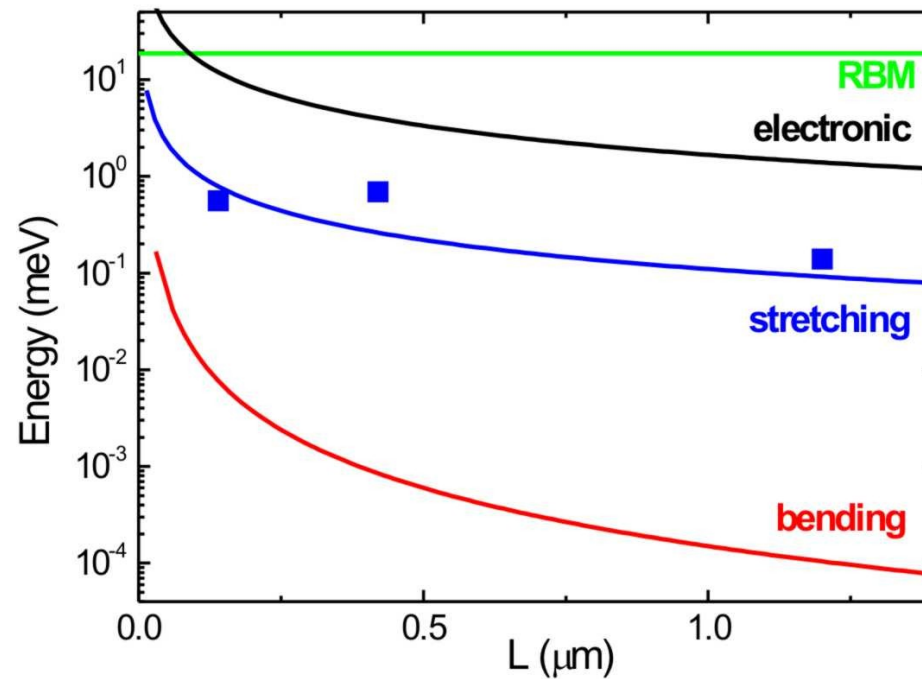
Inelastic tunneling?



# Eigenmodes – Delft experiments

Sapmaz, Jarillo-Herrero, Y.M.B.,  
Dekker, van der Zant, '05

What modes?



Longitudinal stretching modes

# Transport parameters and regimes

SET coupled to a single-mode oscillator

Force  $F$

$$\lambda = \frac{F^2}{M\hbar\omega^3} \quad \text{- coupling parameter}$$

$\lambda < 1$  - weak coupling:

- Ground state of SET not affected by phonons (standard Coulomb blockade);
- Phonon-assisted tunneling.

$\lambda > 1$  - strong coupling:

- Changes in the oscillator position due to one electron: polarons; Franck-Condon physics;
- Instabilities; negative differential resistance.

# Transport parameters and regimes

Friction vs oscillator frequency

$Q \ll 1$  - overdamped

- Amplitude decays quickly
- Equilibrium phonons

$Q \gg 1$  - underdamped

- Amplitude decays slowly;
- Non-equilibrium phonons

# Transport in NEMS

Vibrations (phonons) affect electrons:

- Position dependence of tunneling matrix element;
- Position-dependent electron energies

Could we have a strong effect of phonons for transport at weak coupling?

Yes, if there are many phonons (large amplitude)!

Electrons affect phonons:

- Tunneling in and out produces a stochastic driving force.

High quality factor: amplitude is large, and this produces strong feedback even for weak coupling.



# Components of the model

Y.M.B., Usmani, Nazarov '04

Mechanical oscillator weakly coupled to a SET-device

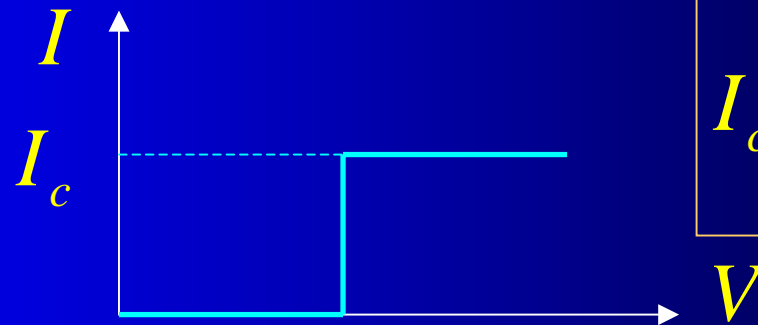
$Q \gg 1$  - underdamped       $\omega \ll \Gamma$  - adiabatic

Coupling: charge-dependent force acting on the oscillator

Only two charge states  $n=0$  &  $n=1$        $F = F_1 - F_0$

Tunnel rates:  $\Gamma_{L,R}^- = \Gamma_{L,R} (\Delta E - Fx)$

Without coupling:



$$I_c = 2e \frac{\Gamma_L \Gamma_R}{\Gamma_L + \Gamma_R}$$

# Distribution function

$P_n(x, v, t)$  - obeys master equation

$x$  - position  
 $v$  - velocity

$$\frac{\partial P_n}{\partial t} + \left( v \frac{\partial}{\partial x} + \frac{\partial F}{\partial v} \frac{1}{M} \right) P_n = St[P]$$

$$F = -M \omega^2 x - M \gamma v + F_n$$

Adiabaticity: reduce to Fokker-Planck equation

$$\frac{\partial P}{\partial t} + v \frac{\partial P}{\partial x} - \omega^2 x \frac{\partial P}{\partial v} - \left[ \frac{\omega}{Q} + \Psi(x) \right] \frac{\partial}{\partial v} (vP) = D(x) \frac{\partial^2 P}{\partial v^2}$$

Built-in dissipation

Dissipation due to tunneling

Diffusion in velocity space

## Distribution function

$$\frac{\partial P}{\partial t} + v \frac{\partial P}{\partial x} - \omega^2 x \frac{\partial P}{\partial v} - \left[ \frac{\omega}{Q} + \Psi(x) \right] \frac{\partial}{\partial v} (vP) = D(x) \frac{\partial^2 P}{\partial v^2}$$

$$D(x) = \frac{F^2}{M^2} \frac{\Gamma^+(x)\Gamma^-(x)}{\Gamma_t^3}$$

$$\Psi(x) = \frac{F^2}{M^2} \frac{\Gamma^-(x)\partial_x \Gamma^+(x) - \Gamma^+(x)\partial_x \Gamma^-(x)}{\Gamma_t^3}$$

$$\Psi(x) \propto \delta(W + Fx)$$

Dissipation happens when the oscillator moves SET over the threshold

## Distribution function

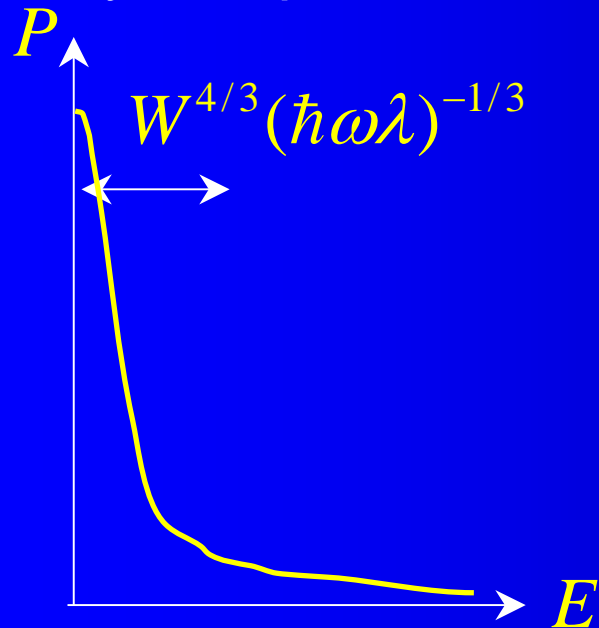
Underdamped: separate slow (energy) and fast (phase) variables

$$x = \frac{1}{\omega} \sqrt{\frac{2E}{M}} \sin \theta; \quad v = \sqrt{\frac{2E}{M}} \cos \theta$$

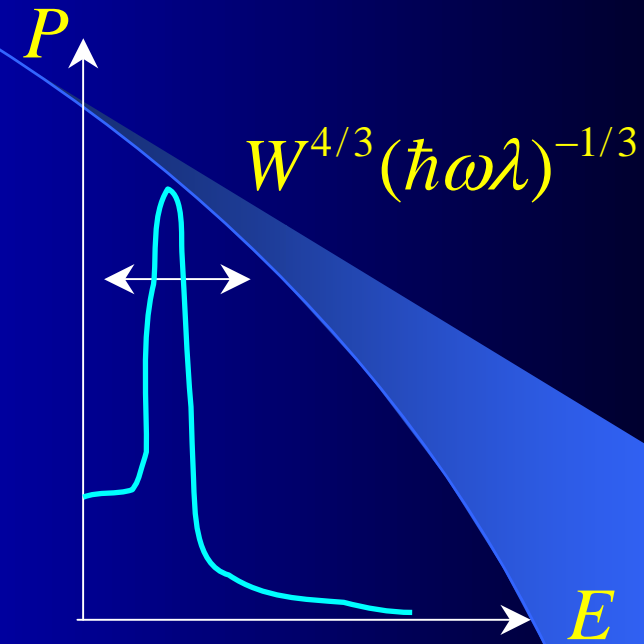
$$P(E) \propto \exp \left[ - \int_0^E dE' \left( \frac{\omega}{2MQD_1(E')} + \frac{\Psi_1(E')}{MD_1(E')} \right) \right]$$

# Distribution function

Very sharp features!



Weak mechanical feedback:  
Amplitude small



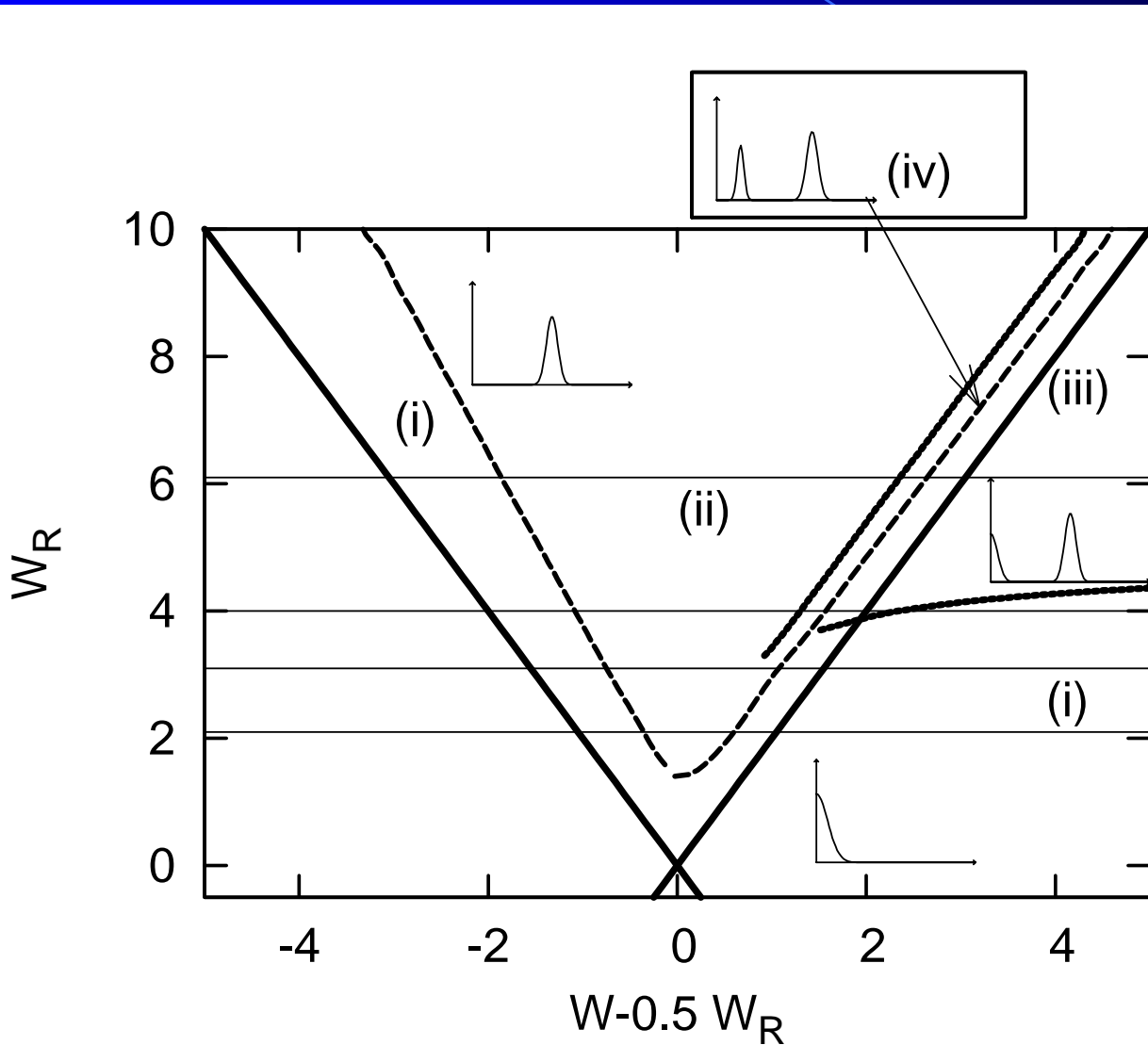
Strong mechanical feedback:  
Amplitude large

Strong feedback: requires negative “quality factors”

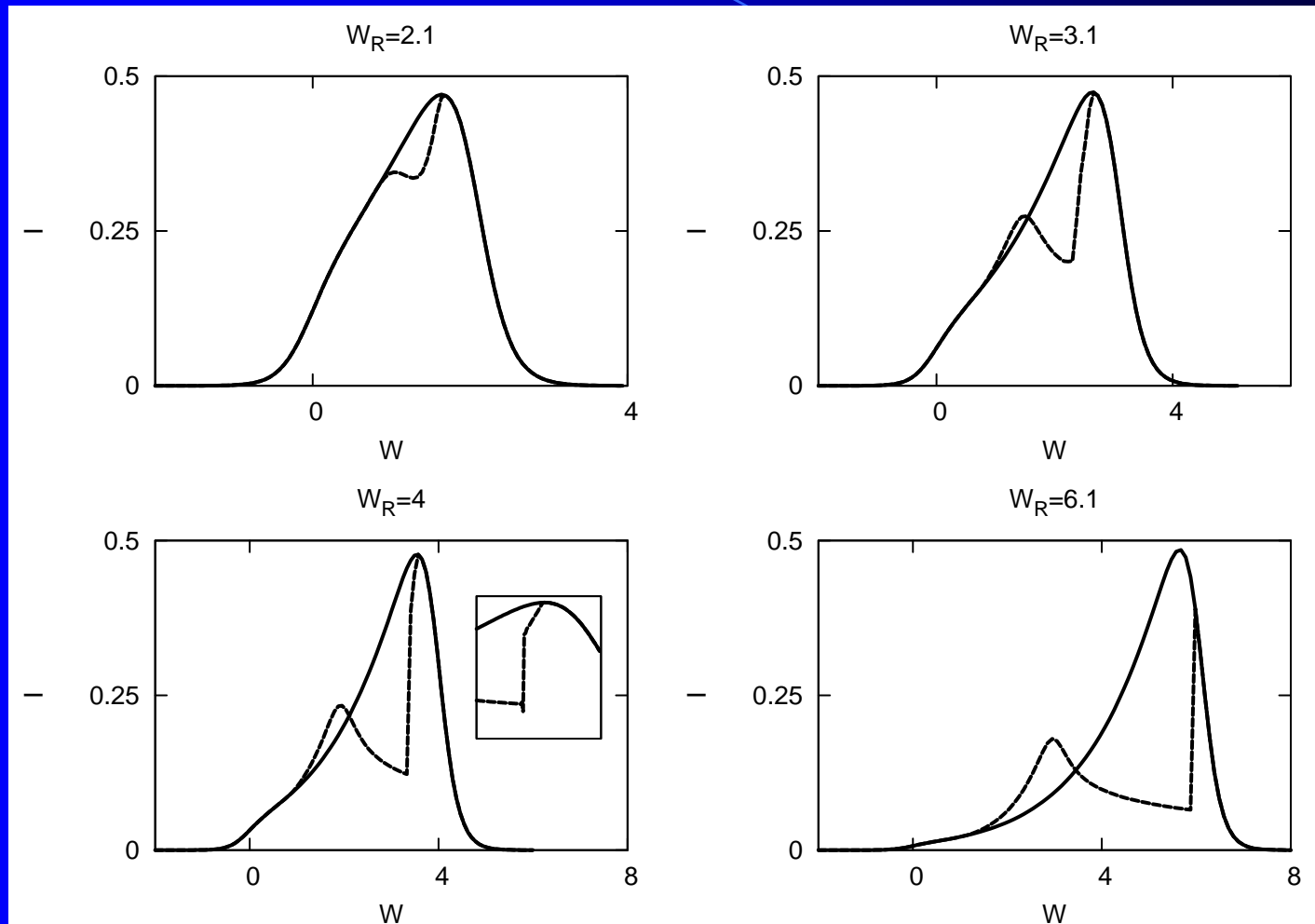
Requires that one of the rates decreases with energy

# Distribution function

Example:  $\Gamma_{L,R}^{\pm} = 2e^{a_{L,R}(W - W_{L,R} + Fx)} f_F(\pm(W - W_{L,R} + Fx))$



# Current for strong mechanical feedback



Solid: no motion; dashed: with feedback

# Noise for strong mechanical feedback

Dimensional analysis:  $S \sim I^2 t$

Common situation: the only time scale  $t \sim \Gamma^{-1}$

$I \sim e\Gamma \Rightarrow S_p \sim eI$  Poisson value of shot noise

NEMS: the longest time scale  $t \sim Q / \omega_0$

$S \sim eI\Gamma Q / \omega_0$  Strong enhancement!

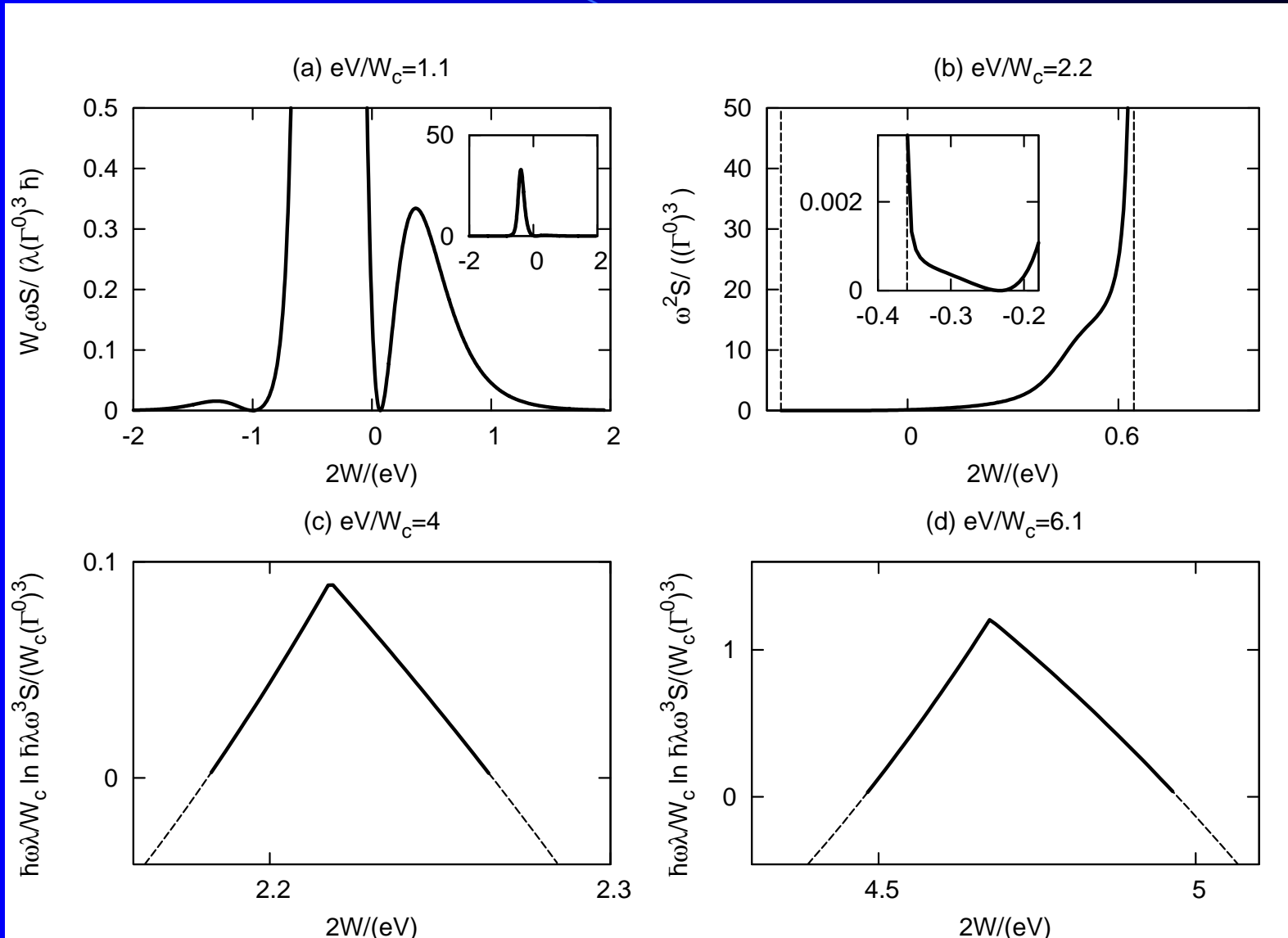
One-peak distribution function with zero amplitude:

$$S / S_p \sim (\Gamma / \omega_0)^2 (\hbar \omega_0 \lambda / W)$$

Two peaks: further enhancement due to switching



# Noise for strong mechanical feedback



# Conclusions

- Current **can** be considerably modified by mechanical degrees of freedom even for weak electron-phonon coupling
- This only happens if the tunnel rates essentially depend on energy
- Giant enhancement of noise, even without strong mechanical feedback