



HUT

Helsinki University of Technology  
Low Temperature Laboratory



LTL

## OPTICAL STUDIES ON THE SHAPE OF $^3\text{He}$ CRYSTALS

H. Alles

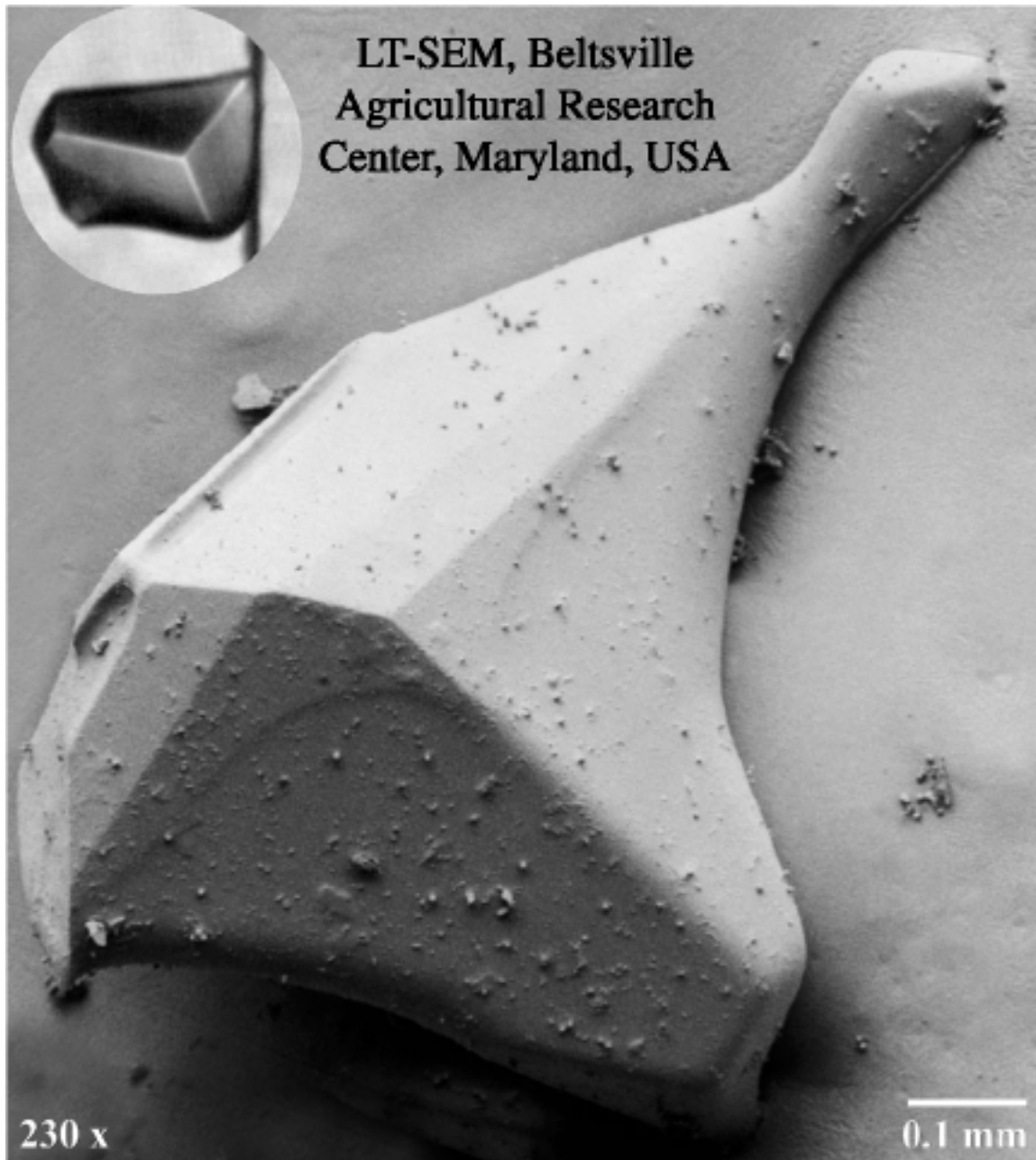
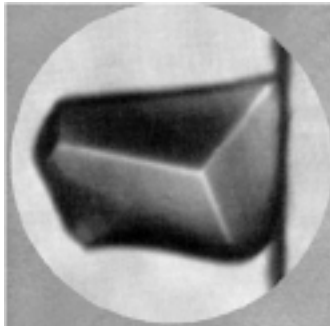
H.J. Junes

A.Ya. Parshin (Kapitza Institute of Physical Problems)

I.A. Todoshchenko

V. Tsepelin (Stanford University)

LT-SEM, Beltsville  
Agricultural Research  
Center, Maryland, USA



230 x

0.1 mm

## Why are there facets on the crystal surface ?

- **FACETS** are present on the crystal surface due to the anisotropy of the surface tension  $\gamma$  which is provided by the underlying lattice

## Why are there facets on the crystal surface ?

- **FACETS** are present on the crystal surface due to the anisotropy of the surface tension  $\gamma$  which is provided by the underlying lattice
- **Landau** (1949); at  $T = 0$  the surface tension of a crystal has a cusp for every rational (i.e., with integer Miller indices) orientation, thus in the low temperature limit there should be many different types of facets on the crystal surface

## Why are there facets on the crystal surface ?

- **FACETS** are present on the crystal surface due to the anisotropy of the surface tension  $\gamma$  which is provided by the underlying lattice
- **Landau** (1949); at  $T = 0$  the surface tension of a crystal has a cusp for every rational (i.e., with integer Miller indices) orientation, thus in the low temperature limit there should be many different types of facets on the crystal surface

## What is roughening transition ?

- **Burton, Cabrera and Frank** (1949, 1951); the crystal surface should undergo a transition from the faceted (smooth) to the rough (rounded) state, the facets should disappear from the crystal surface at the so called **ROUGHENING TRANSITION**; they used the 2D Ising model to predict the transition temperature  $T_R$ ; later it was shown that their model is only a rough approximation and the critical behaviors near  $T_R$  are actually different

## Why are there facets on the crystal surface ?

- **FACETS** are present on the crystal surface due to the anisotropy of the surface tension  $\gamma$  which is provided by the underlying lattice
- **Landau** (1949); at  $T = 0$  the surface tension of a crystal has a cusp for every rational (i.e., with integer Miller indices) orientation, thus in the low temperature limit there should be many different types of facets on the crystal surface

## What is roughening transition ?

- **Burton, Cabrera and Frank** (1949, 1951); the crystal surface should undergo a transition from the faceted (smooth) to the rough (rounded) state, the facets should disappear from the crystal surface at the so called **ROUGHENING TRANSITION**; they used the 2D Ising model to predict the transition temperature  $T_R$ ; later it was shown that their model is only a rough approximation and the critical behaviors near  $T_R$  are actually different
- **Fisher and Weeks** (1983) have found the so called universal relation between  $T_R$  and  $\gamma$  :

$$k_B T_R = \frac{2}{\pi} \gamma d^2 \quad ,$$

where  $d$  is the crystal periodicity along the corresponding orientation

## Why are there facets on the crystal surface ?

- **FACETS** are present on the crystal surface due to the anisotropy of the surface tension  $\gamma$  which is provided by the underlying lattice
- **Landau** (1949); at  $T = 0$  the surface tension of a crystal has a cusp for every rational (i.e., with integer Miller indices) orientation, thus in the low temperature limit there should be many different types of facets on the crystal surface

## What is roughening transition ?

- **Burton, Cabrera and Frank** (1949, 1951); the crystal surface should undergo a transition from the faceted (smooth) to the rough (rounded) state, the facets should disappear from the crystal surface at the so called **ROUGHENING TRANSITION**; they used the 2D Ising model to predict the transition temperature  $T_R$ ; later it was shown that their model is only a rough approximation and the critical behaviors near  $T_R$  are actually different
- **Fisher and Weeks** (1983) have found the so called universal relation between  $T_R$  and  $\gamma$  :

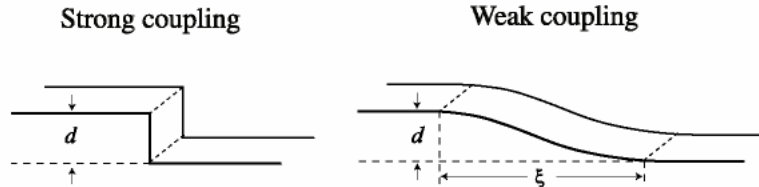
$$k_B T_R = \frac{2}{\pi} \gamma d^2 \quad ,$$

where  $d$  is the crystal periodicity along the corresponding orientation

- **Nozières and Gallet** (1987) developed further the renormalization group theory of roughening; the roughening transition is known to belong to the Kosterlitz-Thouless class of transitions which are of "infinite order"

# What is an elementary step on a facet ?

Profile of an  
**ELEMENTARY STEP**  
at  $T = 0$



Step energy

$$\beta_0 \sim \gamma_0 d$$

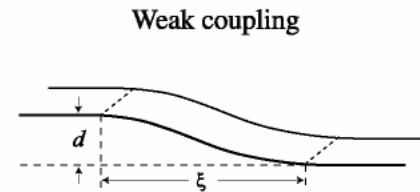
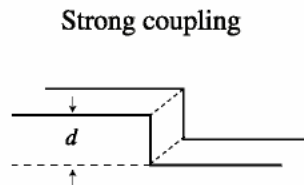
$$\beta_0 \ll \gamma_0 d$$

for well-separated steps, i.e.,  
for higher order facets with large  
Miller indices, with elastic interaction  
between steps  
 $\beta_0 \propto d^4$



## What is an elementary step on a facet ?

Profile of an  
**ELEMENTARY STEP**  
at  $T = 0$



Step energy

$$\beta_0 \sim \gamma_0 d$$

$$\beta_0 \ll \gamma_0 d$$

for well-separated steps, i.e.,  
for higher order facets with large  
Miller indices, with elastic interaction  
between steps  
 $\beta_0 \propto d^4$

## How to locate the roughening transition temperature ?

The step free energy  $\beta$  decreases exponentially to zero as  $T$  approaches  $T_R$  from below; above  $T_R$ ,  $\beta = 0$

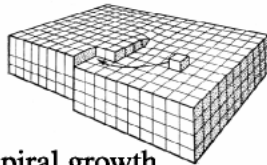
The only practical way to locate  $T_R$  is to measure  $\beta(T)$  and extrapolate it to zero !

$$\beta = \frac{4d}{\pi} \sqrt{\gamma V} ,$$

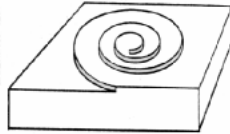
where  $V$  is the pinning potential  
of the crystal lattice

## How facets grow - spiral growth of facets

- Rough surfaces grow easily and their growth rate is proportional to the applied overpressure,  $v \propto \delta p$



spiral growth



- The facets have to grow layer by layer and a continuous growth is possible in the presence of continuous sources of the elementary steps like screw dislocations, this is a **SPIRAL GROWTH**; the screw dislocations appear when dislocations terminate on the crystal surface

- The growth velocity of a facet  $v$  (on a  $^3\text{He}$  crystal):

$$v = \frac{\mu d^3}{19 \beta} \frac{(\rho_s - \rho_l)^2}{\rho_l^2} (\delta p)^2, \quad \text{where } \mu \text{ is the step mobility defined as}$$

$$\mu = \frac{v_{\text{step}}}{d} \frac{\rho_l}{(\rho_s - \rho_l)} \frac{1}{\delta p}$$

- When the step velocity  $v_{\text{step}}$  reaches some critical velocity  $v_{\text{cr}}$ , the growth velocity of a facet  $v$  becomes linear with respect to the applied overpressure  $\delta p$  :

$$v = \frac{v_{\text{cr}} d^2}{2\pi \beta} \frac{(\rho_s - \rho_l)}{\rho_l} \delta p$$

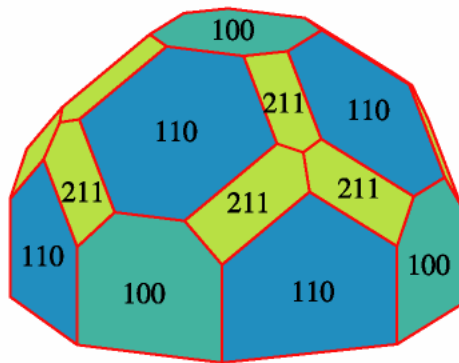
## Faceting of $^3\text{He}$ crystals

- Helium crystals are good model systems because the faceting can be studied along their melting curve over a wide range of temperature, down to  $T = 0$ , in principle
- Before the experiments with  $^3\text{He}$  crystals in LTL only three different types of facets had been observed in  $^3\text{He}$  (as in  $^4\text{He}$ ) :

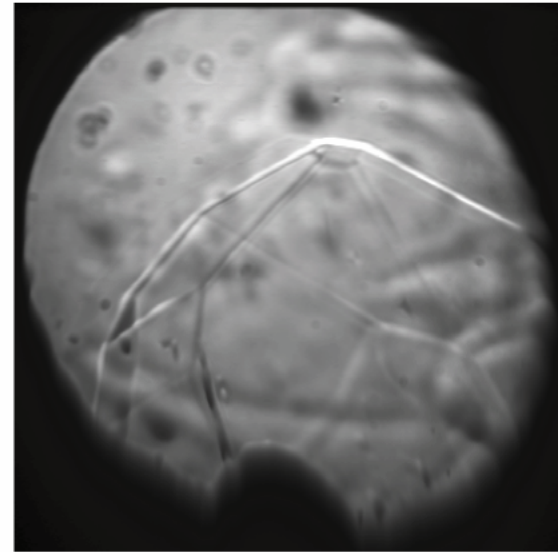
$$d_{110} = 0.307 \text{ nm}$$

$$d_{100} = 0.217 \text{ nm}$$

$$d_{211} = 0.177 \text{ nm}$$



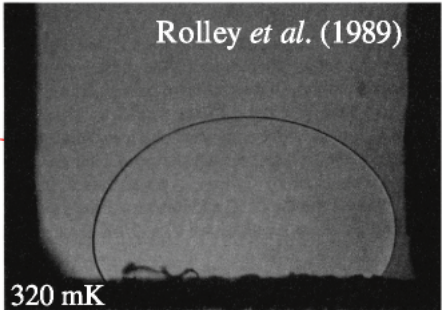
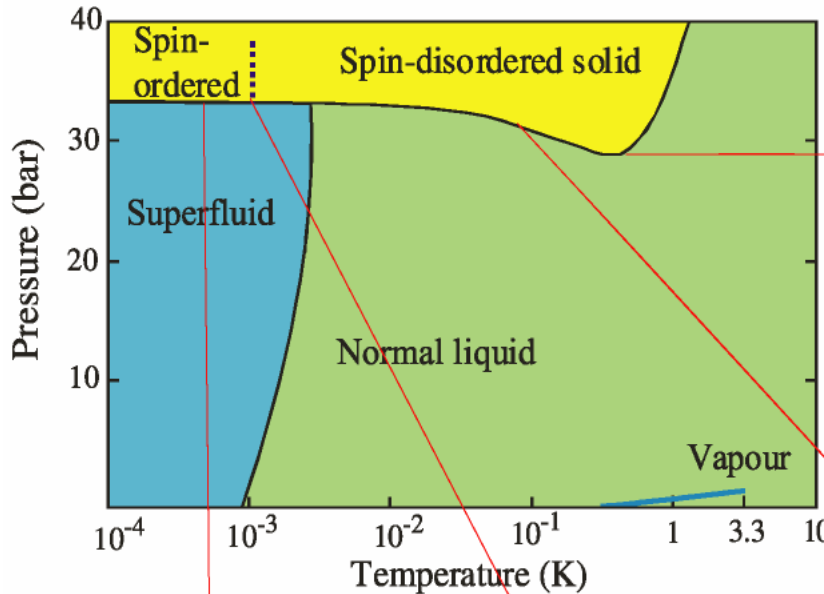
Computer-generated shape of a body-centered cubic (bcc) crystal with three types of facets



Growing bcc  $^3\text{He}$  crystal at 2.2 mK showing the (110), (100) and (211) types of facets. After Wagner *et al.* (1996).

- The growth rates of individual facets had not been measured and only an average growth rate of  $^3\text{He}$  crystals had been reported

# Our interferometric studies on the growth dynamics of faceted $^3\text{He}$ crystals



$^3\text{He}$  crystal in equilibrium, no facets; Rolley *et al.* measured also the surface tension of  $^3\text{He}$  crystals,  $\gamma = 0.06 \text{ erg/cm}^2$ , with that value one obtains from the universal relation that  $T_R$  for the (110) facets is 260 mK !

**I**

at the lowest temperature of about 0.5 mK we have reached; for a review, see Tsepelin *et al.*, J. of Low Temp. Phys. **129**, 489-530 (2002)

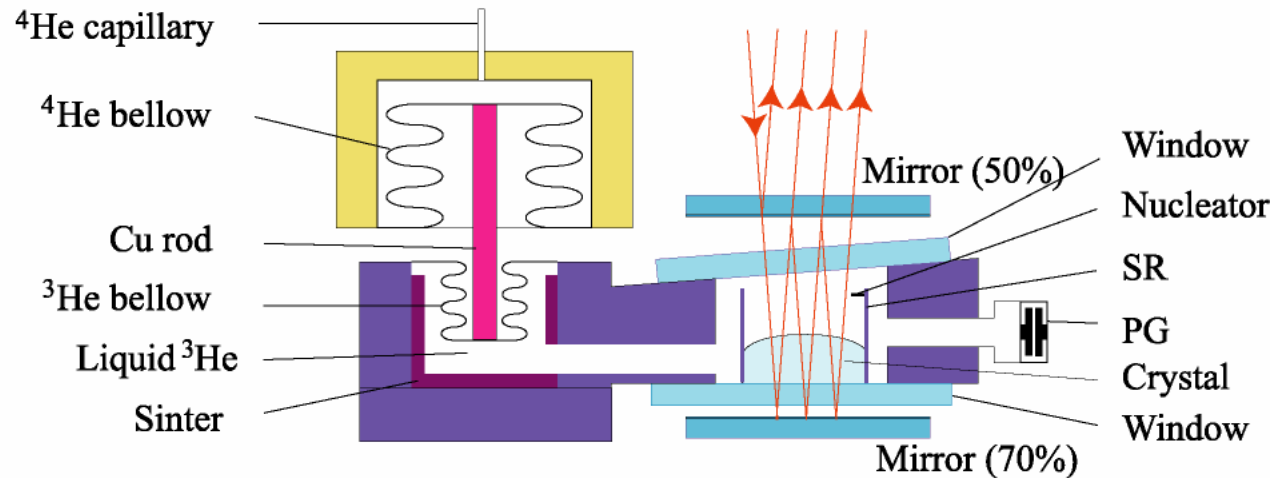
**II**

near the magnetic ordering temperature  $T_N = 0.93 \text{ mK}$ ; talk by I.A. Todoshchenko in QFS 2003, Albuquerque (see also poster session here in Lammi)

**III**

near 100 mK, the highest temperature at which the (110) facets have been seen on the bcc  $^3\text{He}$  crystals by Rolley *et al.* (1989); poster presentation by H.J. Junes here in Lammi

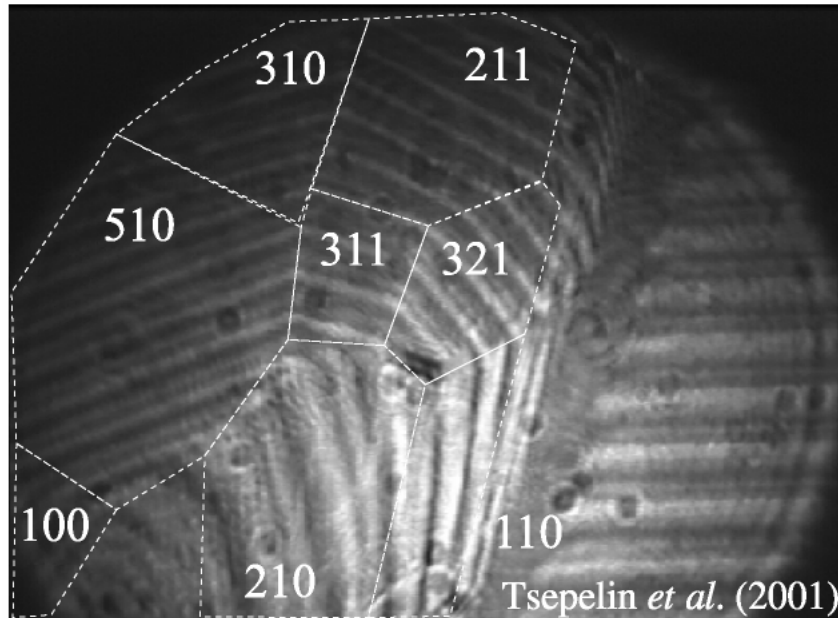
## Our experimental cell and a Fabry-Pérot interferometer



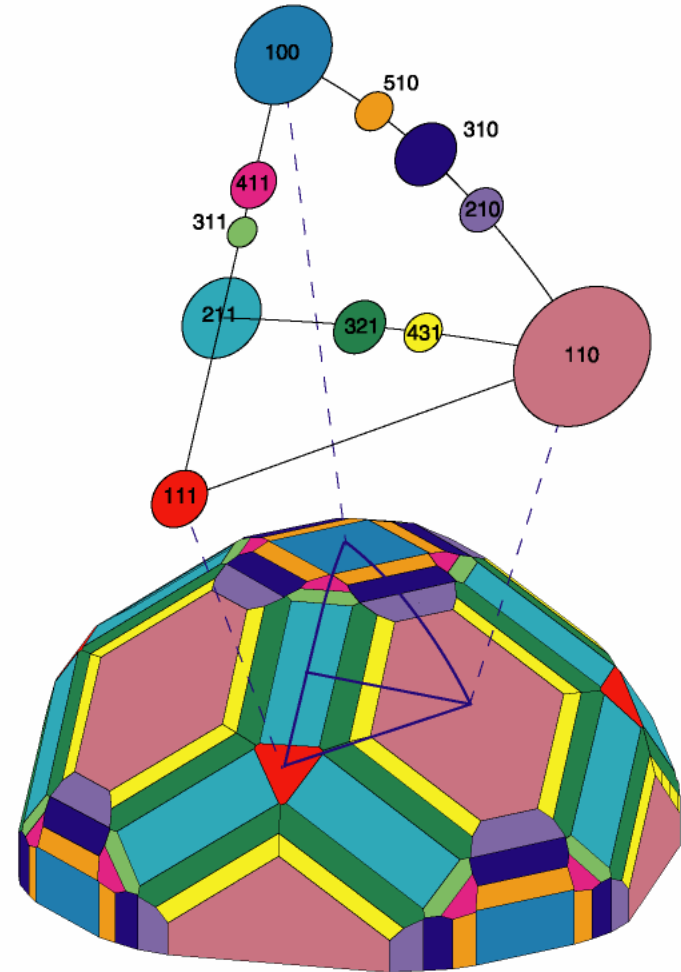
Illumination: from He-Ne laser ( $\lambda = 632.8 \text{ nm}$ ) through an optical fiber  
Imaging of interferograms: by a slow scan CCD-sensor INSIDE the vacuum can of the nuclear demagnetization cryostat  
Resolution: about  $5 \mu\text{m}$  in vertical and  $15 \mu\text{m}$  in horizontal direction

Later: the vibrating wire unit installed additionally for thermometry

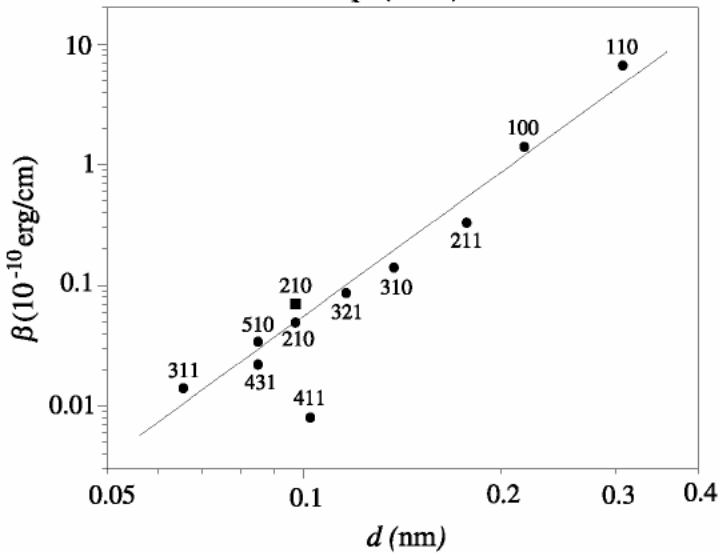
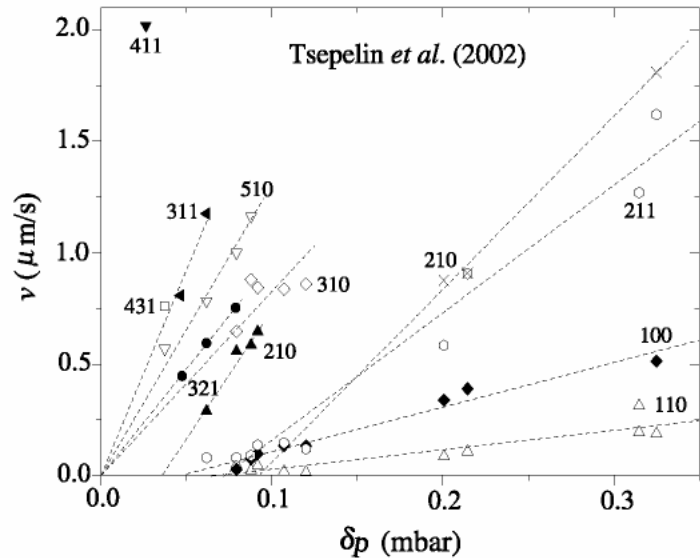
## $^3\text{He}$ crystals show many different types of facets at 0.5 mK



An interferogram of a growing  $^3\text{He}$  crystal at 0.55 mK. Adjacent fringes indicate a change in the crystal thickness by  $\lambda/2(n_s - n_1) = 190 \mu\text{m}$ ; the diameter of the illuminated area is about 8 mm



Computer-generated shape of a bcc crystal with eleven types of facets observed on  $^3\text{He}$  crystals



### Growth sequence of a single <sup>3</sup>He crystal at 0.55 mK

- the slowest facets are of the (110) type; these facets have the largest step height (or the interplanar distance) in the bcc lattice
- the measured growth velocities of facets  $v$  show a linear dependence of the applied overpressure  $\delta p$
- spiral growth in the regime of the so called suppressed step mobility

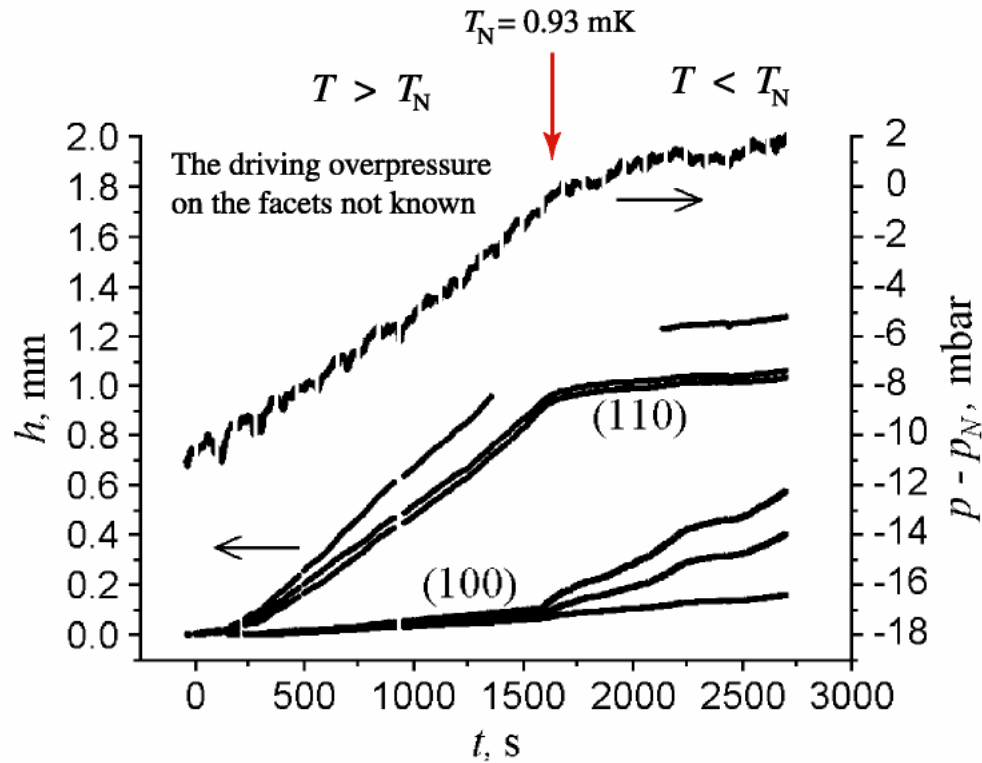
$$v = \frac{v_{cr} d^2}{2\pi \beta} \frac{(\rho_s - \rho_l)}{\rho_l} \delta p, \quad v_{cr} \approx 7 \text{ cm/s (both the magnon velocity and the pair-breaking velocity)}$$

- a linear fit to the calculated step free energies  $\beta$  gives a fourth order power dependence  $\beta \propto d^4$ , as predicted by the strong-coupling model, and

$$\frac{\beta_{110}}{\gamma d_{110}} = 0.36$$

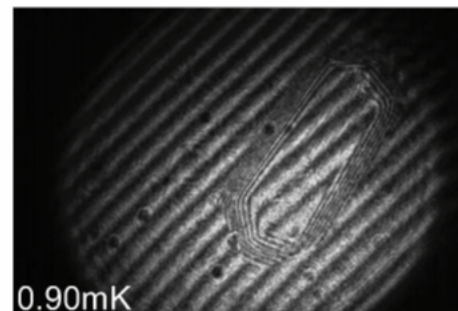
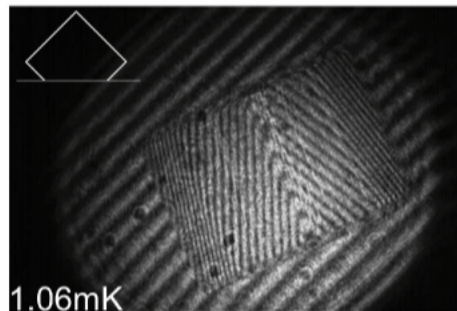
**Result:** an evidence for a strong coupling of the superfluid/solid interface to the crystal lattice in <sup>3</sup>He at 0.55 mK

## Cooling the growing $^3\text{He}$ crystal through $T_N$



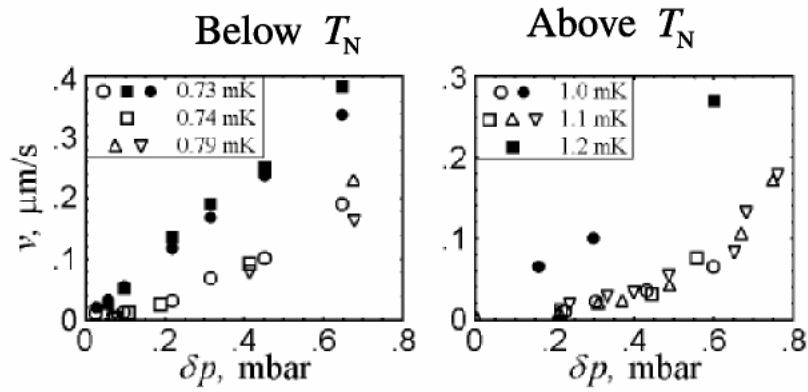
Above  $T_N$ , in the paramagnetic phase, the slowest facets are of the (100) type !

Below  $T_N$ , the slowest facets are of the (110) type as observed by Tsepelin *et al.*

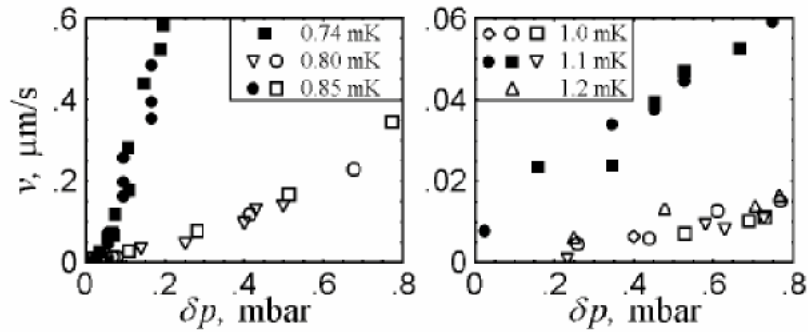




(110)

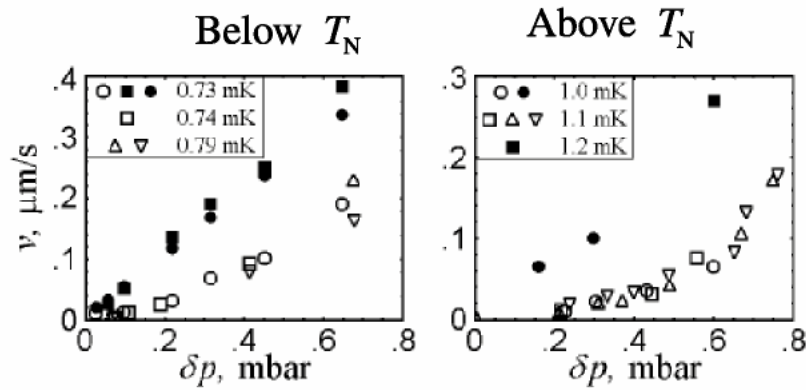


(100)

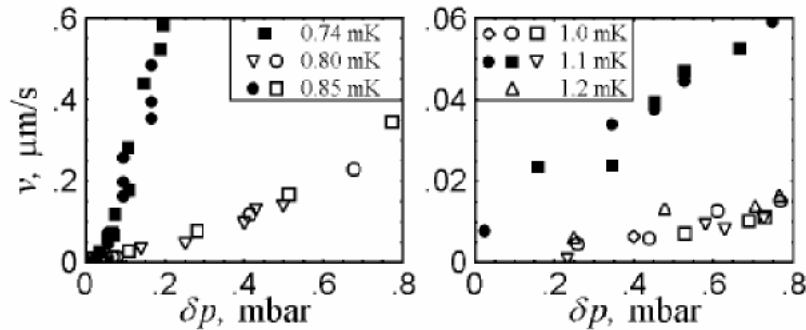


The overpressure  $\delta p$   
on the facets obtained  
using the measured  
temperature of superfluid  
 $^3\text{He}$  (by the vibrating wire)

(110)



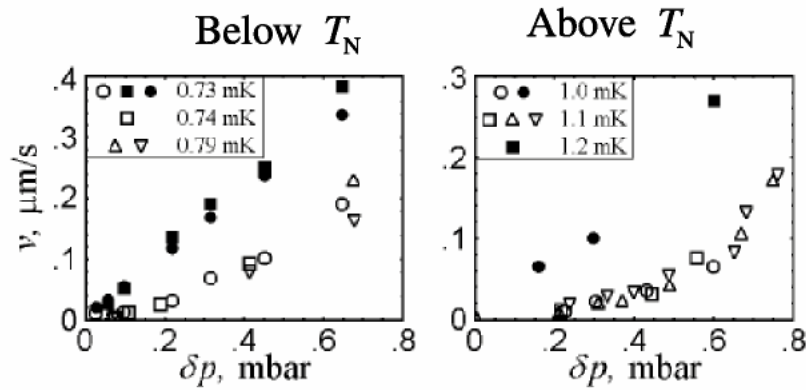
(100)



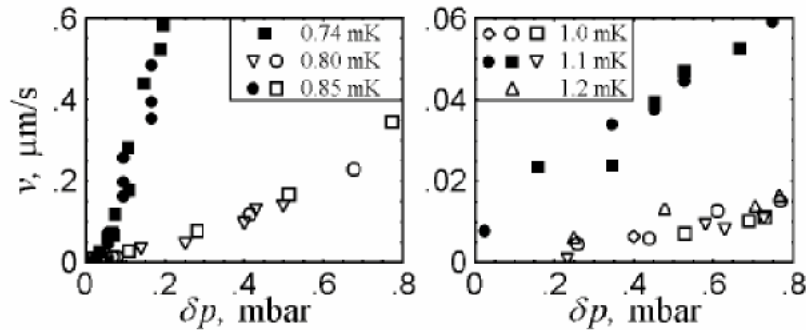
The overpressure  $\delta p$   
on the facets obtained  
using the measured  
temperature of superfluid  
 $^3\text{He}$  (by the vibrating wire)

- (1) there are two different growth mechanisms of facets, additionally to the spiral growth in the linear regime (observed by Tsepelin *et al.*) a slower growth mode with very small anisotropy of growth in the ordered state was observed

(110)



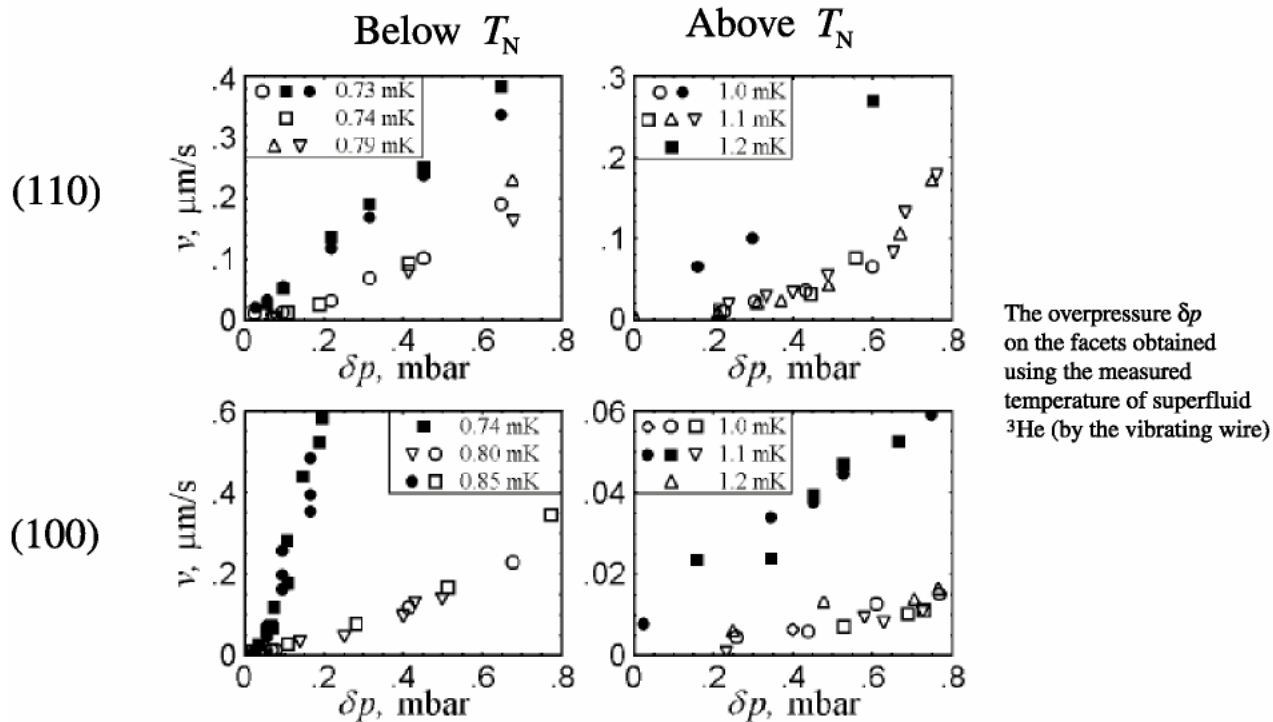
(100)



The overpressure  $\delta p$   
on the facets obtained  
using the measured  
temperature of superfluid  
 $^3\text{He}$  (by the vibrating wire)

(1) there are two different growth mechanisms of facets, additionally to the spiral growth in the linear regime (observed by Tsepelin *et al.*) a slower growth mode with very small anisotropy of growth in the ordered state was observed

(2) the (110) facets do not "feel" the transition



- (1) there are two different growth mechanisms of facets, additionally to the spiral growth in the linear regime (observed by Tsepelin *et al.*) a slower growth mode with very small anisotropy of growth in the ordered state was observed
- (2) the (110) facets do not "feel" the transition
- (3) significant suppression (more than an order of magnitude) of the mobility of the (100) facets ABOVE the transition

## Growth dynamics of $^3\text{He}$ crystals near 100 mK; the surface of a crystal as a pressure gauge

- To investigate quantitatively the growth dynamics of crystals, accurate measurements of the driving overpressure  $\delta p = p_1 - p_{\text{eq}}(T)$  are needed

- Near 100 mK in  $^3\text{He}$  large latent heat of crystallization and poor thermal conductivity of liquid; the measurements of the temperature of the liquid/solid interface practically impossible

- Fortunately, the crystal surface itself can serve as a very sensitive local (over)pressure gauge

$$\delta p = \text{const} - \rho_1 g h - \frac{\rho \gamma K}{\rho_s - \rho_1}, \quad \text{where } K \text{ is the surface curvature and } h \text{ the crystal height}$$

- The growth of the rough surface is linear  $\delta p_R = \frac{v_R}{k}$ , where  $k$  is the growth coefficient of the rough surface

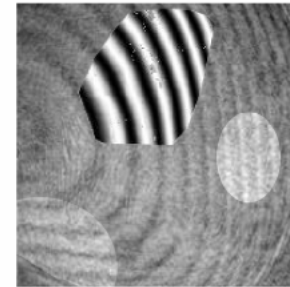
- Smooth facet has an additional growth resistance because the latent heat is released not uniformly but locally on the moving steps

$$\delta p_F = \frac{v_F}{k} + \frac{v_{\text{st}}}{\mu}, \quad \text{where } \mu \text{ is the step mobility}$$

- Finally, the effective overpressure  $\delta p_{\text{st}}$ , which drives the step motion is

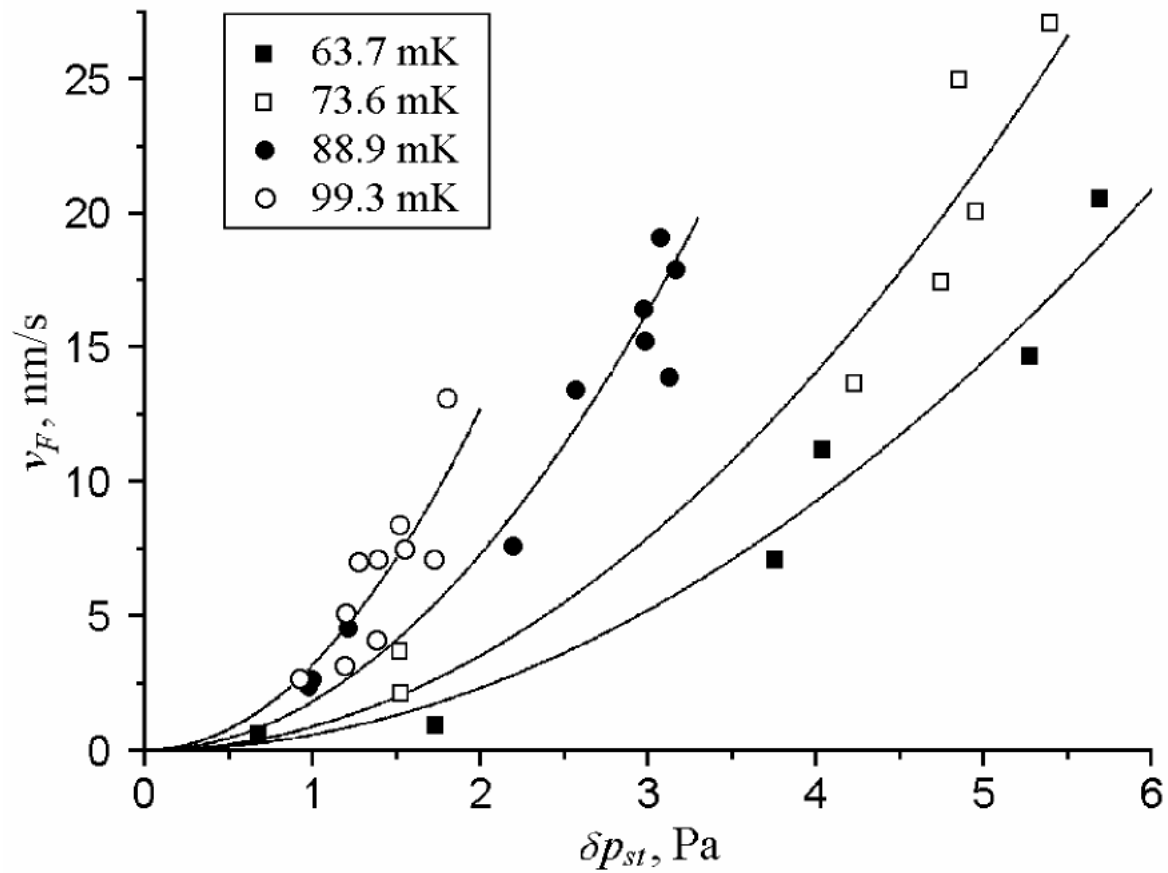
$$\delta p_{\text{st}} = \frac{v_{\text{st}}}{\mu} = \frac{v_R - v_F}{k} + (h_R - h_F) \rho_1 g + \frac{\rho_1 \gamma K_R}{\rho_s - \rho_1}$$

and can be found by measuring the heights, curvatures and velocities of both faceted and rough surfaces

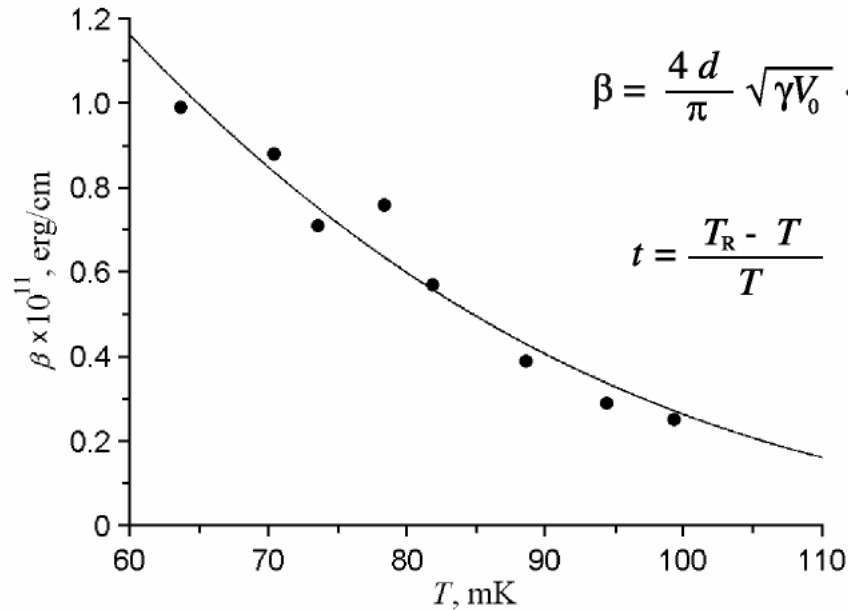


Interferogram of a growing  $^3\text{He}$  crystal at 78.4 mK; the height difference between neighboring fringes is 200  $\mu\text{m}$ ; 3.5 x 3.5  $\text{mm}^2$

Growth velocity  $v_F$  of the (110) facets versus  
the effective overpressure  $\delta p_{st}$



## Measured $\beta_{110}(T)$ on $^3\text{He}$ crystals



$$\beta = \frac{4d}{\pi} \sqrt{\gamma V_0} \cdot \left\{ \frac{8\pi V_0}{\Lambda_0^2 T_R} (e^{\frac{T_R}{2T}} - 1) \right\}^{\frac{1}{2t}}$$

$$t = \frac{T_R - T}{T}$$

$$T_R = 260 \text{ mK (fixed)}$$

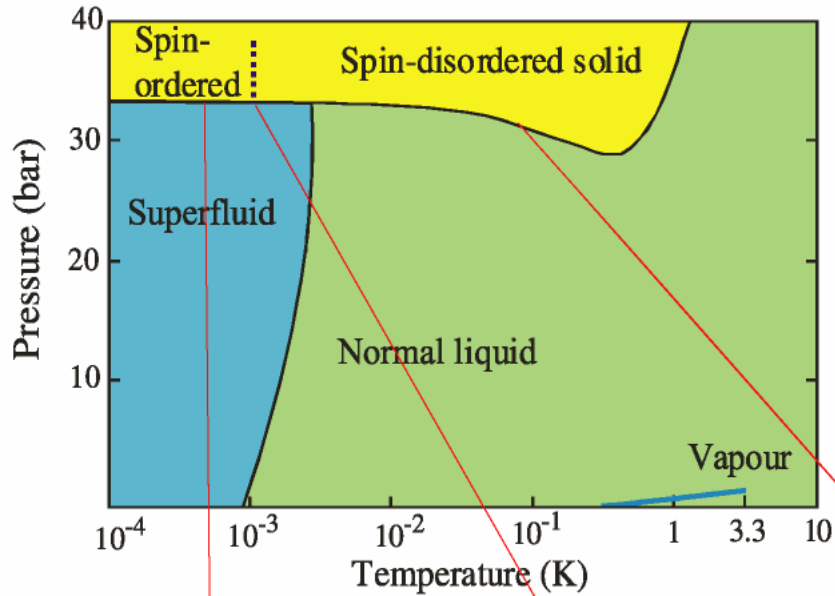
Result of a fit:

$$\Lambda_0 = 11/d$$

$$V_0 = 1.3 \cdot 10^{-5} \text{ erg/cm}^2 = \frac{\gamma}{5000} !$$

Such a small pinning energy suggests for  $\beta(T \rightarrow 0) = 4 \cdot 10^{-11}$  erg/cm which is by an order of magnitude smaller than the value  $\beta = 6.6 \cdot 10^{-10}$  erg/cm obtained at 0.55 mK by Tsepelin *et al.*

# Summary



I

$^3\text{He}$  crystals show many different types of facets; an evidence for a **STRONG** coupling of the superfluid/solid interface of  $^3\text{He}$  to the crystal lattice

II

The nuclear ordering transition in a bulk solid  $^3\text{He}$  does have an effect on the growth dynamics of facets; the suppression of the mobility of the (100) facets above (!?) the transition, in the paramagnetic phase

III

The observed critical behavior of the step free energy of the (110) facets far from the roughening transition temperature, down to  $T_R/4$ , suggests that the coupling of the liquid/solid interface to the crystal lattice is uniquely **WEAK** in  $^3\text{He}$

Weak versus strong coupling in  $^3\text{He}$

(1) Does something happen at  $T_N$  or/and at  $T_C$  ?

or

(2) Are the step energy values overestimated at low temperatures ?